

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C08J 3/24, C08F 8/00</b>	<b>A2</b>	<b>(11) International Publication Number:</b> <b>WO 97/32922</b> <b>(43) International Publication Date:</b> 12 September 1997 (12.09.97)
<b>(21) International Application Number:</b> PCT/US97/02996 <b>(22) International Filing Date:</b> 28 February 1997 (28.02.97) <b>(30) Priority Data:</b> 60/012,873      5 March 1996 (05.03.96)      US <b>(71) Applicant (for all designated States except US):</b> THE DOW CHEMICAL COMPANY [US/US]; 2030 Dow Center, Mid- land, MI 48674 (US). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> ROWLAND, Michael, E. [US/US]; 402 Daffodil, Lake Jackson, TX 77566 (US). TURLEY, Robert, R. [US/US]; 606 Lake Road, Lake Jackson, TX 77566 (US). HILL, James, J. [US/US]; 517 Mimosa, Angleton, TX 77515 (US). KALE, Lawrence, T. [US/US]; 121 Portulaca, Lake Jackson, TX 77566 (US). KUMMER, Kyle, G. [US/US]; 226 Plum Circle, Lake Jackson, TX 77566 (US). LAI, Shih-Yaw [US/US]; 4523 Bermuda, Sugar Land, TX 77479 (US). CHUM, Pak-Wing, Steve [US/US]; 126 Juniper, Lake Jackson, TX 77566 (US). <b>(74) Agents:</b> LELKES, Robert, S. et al.; Whyte Hirschboeck Dudek, S.C., Suite 2100, 111 East Wisconsin Avenue, Milwaukee, WI 53202 (US).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>
<b>(54) Title:</b> RHEOLOGY-MODIFIED POLYOLEFINS  <b>(57) Abstract</b>  The subject invention is directed to a rheology-modified ethylene polymer having less than 0.5 weight percent gel, a composition distribution breadth index (CDBI) greater than 50 percent, and a molecular weight distribution ( $M_w/M_n$ ) of less than 4.0, which is characterized as having improved rheological performance and/or melt strength attributes relative to the unmodified polymer. The subject invention is further directed to polymer blends which comprise the rheology-modified polymers, and to a process for preparing the rheology-modified polymers.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LJ	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

RHEOLOGY-MODIFIED POLYOLEFINS

This invention relates to rheology-modified thermoplastic polyolefins, processes for making rheology-modified thermoplastic polyolefins and processes for shaping them into molded articles. In particular, this invention relates to rheology-  
5 modification of ethylene interpolymers such as ethylene/ $\alpha$ -olefin polymers.

Polymers and numerous additives are typically compounded into formulations which are then totally cross-linked for enhanced strength properties of the finished article. The starting polymer, prior to cross-linking, must have adequate  
10 performance properties such that it may be formulated or compounded with various additives and still maintain processability. For example, in a wire and cable coating operation, the composition must have "green strength", also known as "melt strength", to remain on the wire after coating, and not sag or deform on the wire until the composition is cured. Otherwise the wire will have thin spots and the  
15 insulating value of the composition is lost. The composition must also undergo a final cure step and achieve good physical properties, such as tensile strength, elongation, and 100 percent modulus (stress at 100 percent strain). Typical curing occurs through use of peroxide or irradiation, and for polyethylene in general, the curing through crosslinking phenomenon is well documented (see, for example,  
20 Radiation Effects in Materials, A. Charlesby, editor, Pergamon Press, 1960). Polyethylene, especially heterogeneous linear low density polyethylene (LLDPE), when exposed to peroxide and/or radiation under proper conditions, forms gels as the molecular weight builds.

Usually the polymer selected to compatibilize all of the various components  
25 used in wire and cable coating operations is an elastomer such as ethylene/propylene rubber (EPR) or ethylene/propylene diene monomer terpolymer (EPDM). These types of very low density polymers (i.e., polymers typically having a density less than 0.92 g/cm<sup>3</sup>) are relatively expensive (as compared with traditional linear low density polyethylene polymers) and contain a very high percentage by  
30 weight of comonomer(s) (for example, propylene, dienes). Lowering the density of the polymer also increases the ability of the polymer to hold more filler and oil.

There have been a few recent announcements regarding new polymers which are said to be effective substitutes for EPR and EPDM. Union Carbide Chemicals and Plastics, Inc., announced in 1990 that they have developed a new

cost effective class of polyolefins trademarked Flexomer™ Polyolefins that could replace expensive EPR or EPDM rubbers. These new polyolefins are said to have bridged the gap between rubbers and polyethylene, having moduli between the two ranges.

5 While the development of new lower modulus polymers such as Flexomer™ Polyolefins by Union Carbide or Exact™ polymers by Exxon has aided the elastomeric formulation marketplace, there continues to be a need for other more advanced, cost-effective polymers which can ultimately be fully cross-linked to form a polymer aggregate such that the bulk polymer is a covalently bonded network of  
10 polymer chains, but which also have good physical properties and processability prior to such to complete cross-linking.

Others have attempted to modify polyolefins in various ways to try to achieve such goals. For example, in Chemical Modification of Linear Low Density Polyethylene, by T.K. Su, R.G. Shaw, P.J. Canterino, E.A. Colombo and T.H.  
15 Kwack, published in ANTEC '87 SPE Technical Papers, vol. 33, pp. 1271-1275, linear low density polyethylene (LLDPE) was crosslinked using peroxide free-radical initiation. This modification is said to result in chemically modified LLDPE without creating gels. However, Su et al. also report that peroxide modification of LLDPE results in higher apparent viscosity throughout the range of shear rate (see Figure 2  
20 of Su et al.). This change in viscosity indicates growing molecular weight as a result of the peroxide modification and results in modified LLDPE which does not have the same processability as the unmodified LLDPE, especially in the high shear range.

In PCT/GB85/00142 (published as WO 85/04664) ("PCT '142" herein), LLDPE is treated to enhance the polymers' suitability for extrusion conversion into  
25 hollow articles (e.g. tubes, sheathing, and wire and cable insulators). PCT '142 states that treating LLDPE having a melt index over 3 g/10 minutes with "moderate quantities of peroxide does not bring about an adequate broadening of molecular weight distribution and may lead to treated LLDPE's whose mechanical properties are unsatisfactory." Further, these treated LLDPE's are said to produce finished  
30 extruded articles which have a "non-uniform wall and a rough surface" as a result of "shark-skin" melt fracture. PCT '142 allegedly solves the difficulty by using thermo-mechanical treatment of the LLDPE in a molten state. The treatment involves introducing LLDPE having a density of 0.9 to 0.935 g/cm<sup>3</sup> and a melt index over 3 dg/minute as a powder into a thermomechanical apparatus of an extruder while

simultaneously introducing an organic peroxide at a level of over 0.05 percent and less than 1 percent (by weight of the polymer).

USP 4,598,128 (Randall et al.) describes ethylene polymer compositions being a blend of a first and second ethylene polymer. The second ethylene polymer is characterized by molecules having long chain Y-branches. Both polyethylenes can be made using the high pressure process (producing homopolymer low density polyethylene (LDPE)) or in a low pressure process (producing linear polyethylene having essentially no long chain branching). The blend can be prepared by using an extrusion process in which a portion of the polyethylene is irradiated and both the irradiated and non-irradiated polymers subsequently melt blended. The long chain Y-branched polymer is said to have a broad molecular weight distribution. The resultant blended composition is also said to have altered rheological properties without significantly increasing the molecular weight of the polymers. The compositions are said to be useful for coatings and production of shaped and molded articles (for example, pipes, gas tanks and other molded auto parts).

While there have been several attempts at increasing the processability of linear heterogeneously branched polyethylene through use of irradiation, there continues to be a need for cost effective modification of polyethylene such that the resultant modified polymer is still useful for thermoplastic molding processes. In particular, there is a need for polyolefins having one or more improved processing characteristics such as higher zero shear viscosity, low high shear viscosities, improved melt flow ( $I_{10}/I_2$ ) properties, improved critical shear rate at onset of surface melt fracture, improved critical shear stress at onset of gross melt fracture, improved rheological processing index (PI), improved melt strength, higher green strength, greater filler/plasticizer/oil loading capabilities, and/or improved peroxide cure efficiency, while maintaining or improving physical properties such as tensile strength, impact strength, modulus of elasticity and relaxation time. In blown film processes high bubble stability, particularly combined with high polymer throughput, is a particularly desirable objective and in cast film and extrusion molding processes the ability to increase or maintain the polymer throughput rate and/or reduce or maintain extruder back pressure while improving draw down and/or reducing neck in is particularly desired.

These and other desired goals are satisfied by ethylene polymers selected and modified according to the present invention.

One aspect of this invention is directed to a rheology-modified ethylene polymer having less than 0.5 wt percent gel as measured via ASTM D2765, Procedure A, a Composition Distribution Branch Index (CBDI) greater than 50 percent and a molecular weight distribution less than 4.0, which is characterizable by one of the following equations:

$$Z \leq (\log \eta_{0.1} - \log \eta_{100}) / \log \eta_{100} \quad (I)$$

$$\log \tau_0 = m \cdot \log (\eta_0) - b \quad (II)$$

$$K \leq MS^{150C} - 72.079 \times (\log M_w)^2 + 666.28 \times (\log M_w) - 1539.5 \quad (III)$$

wherein  $\eta_0$  is the zero shear rate viscosity of the polymer,  $\eta_{0.1}$  is the viscosity of the polymer measured at 190 C and a shear rate of 0.1 radians/second,  $\eta_{100}$  is the viscosity of the polymer at a shear rate of 100 radian/second,  $\tau_0$  is the relaxation time of the polymer,  $Z$ , also referred to herein as the log viscosity ratio, is a number having a value of 0.30,  $m$  is a number having a value greater than or equal to 1.070,  $b$  is a number having a value less than or equal to 5.950,  $K$ , also referred to herein as the melt strength improvement constant, is a number equal to 0.50,  $MS^{150C}$  is the melt strength of the rheology-modified polymer in centiNewtons (cN) at 150 C and  $M_w$  is the weight average molecular weight of the rheology-modified polymer as measured via gel permeation chromatography (GPC).

Another aspect of this invention is directed to a process for improving the processability of a thermoplastic ethylene polymer comprising treating at least one thermoplastic ethylene polymer having a molecular weight distribution less than 3.00 and a CBDI greater than 50 percent with a crosslinking agent in an amount less than the amount which would cause greater than or equal to 0.5 wt percent gel formation under melt processing conditions wherein the process satisfies the condition:

$$\log \eta_{0.1}^m \geq \log \eta_{0.1}^v + x \quad (IV) \text{ and}$$

$$\log \eta_{100}^m \leq \log \eta_{100}^v + y \quad (V)$$

wherein  $\eta_{0.1}^m$  and  $\eta_{100}^m$  are the viscosities of the modified polymer in poise measured at 190 C and shear rates of 0.1 and 100 radian/second, respectively,  $\eta_{0.1}^v$  and  $\eta_{100}^v$  are the viscosities of the unmodified polymer (that is, the "virgin" polymer) in poise measured at 190 C and shear rates of 0.1 and 100 radian/second, respectively,  $x$  is a number having a value of 0.50 and  $y$  is a number having a value of 0.10;

$$\log \tau_0^m \geq \log \tau_0^v + 0.1 \quad (VI)$$

wherein  $\log \tau_0^m$  and  $\log \tau_0^v$  are log relaxation times of the rheology-modified polymer and the polymer prior to modification, respectively; or

$$MS^m \geq MS^v + 0.5 \text{ cN} \quad (\text{VII})$$

wherein  $MS^m$  and  $MS^v$  are melt strengths in cN at 150 C of the rheology-modified polymer and the same polymer prior to modification, respectively.

Another aspect of this invention is directed to an improved process for making a molded article comprising:

- a) treating at least one thermoplastic ethylene polymer having a molecular weight distribution less than 3.00 and a CBDI greater than 50 percent with a crosslinking agent;
- (b) heating the treated polymer to a temperature suitable for melt processing;
- (c) melt processing the heated polymer;
- (d) forming the melt processed polymer into a shape and
- (e) allowing the shaped polymer to cool,

wherein the amount of crosslinking agent used in step (a) is less than that which would cause greater than or equal to 0.5 wt percent gel formation under the melt processing conditions of step (c) and yet sufficient to satisfy the condition:

$$\log \eta_{0.1}^m \geq \log \eta_{0.1}^v + x \quad (\text{IV}) \text{ and}$$

$$\log \eta_{100}^m \leq \log \eta_{100}^v + y \quad (\text{V})$$

wherein  $\eta_{0.1}^m$  and  $\eta_{100}^m$  are the viscosities of the modified polymer in poise measured at 190 C and shear rates of 0.1 and 100 radian/second, respectively,  $\eta_{0.1}^v$  and  $\eta_{100}^v$  are the viscosities of the unmodified polymer (that is, the "virgin" polymer) in poise measured at 190 C and shear rates of 0.1 and 100 radian/second, respectively,  $x$  is a number having a value of 0.50 and  $y$  is a number having a value of 0.10;

$$\log \tau_0^m \geq \log \tau_0^v + 0.1 \quad (\text{VI})$$

wherein  $\log \tau_0^m$  and  $\log \tau_0^v$  are log relaxation times of the rheology-modified polymer and the polymer prior to modification, respectively; or

$$MS^m \geq MS^v + 0.5 \text{ cN} \quad (\text{VII})$$

wherein  $MS^m$  and  $MS^v$  are melt strengths in cN at 150 C of the rheology-modified polymer and the same polymer prior to modification, respectively.

Yet another aspect of the present invention is directed to improved intermediates for making molded articles comprising a thermoplastic ethylene polymer having a CBDI greater than 50 percent treated with a crosslinking agent in

an amount less than sufficient to cause the formation of 0.5 wt percent or more gel under melt processing conditions and yet sufficient to satisfy the condition:

$$\log \eta_{0.1}^m \geq \log \eta_{0.1}^v + x \quad (\text{IV}) \text{ and}$$

$$\log \eta_{100}^m \leq \log \eta_{100}^v + y \quad (\text{V})$$

5 wherein  $\eta_{0.1}^m$  and  $\eta_{100}^m$  are the viscosities of the modified polymer in poise measured at 190 C and shear rates of 0.1 and 100 radian/second, respectively,  $\eta_{0.1}^v$  and  $\eta_{100}^v$  are the viscosities of the unmodified polymer (that is, the "virgin" polymer) in poise measured at 190 C and shear rates of 0.1 and 100 radian/second, respectively,  $x$  is a number having a value of 0.50 and  $y$  is a number having a value of 0.10;

$$10 \quad \log \tau_0^m \geq \log \tau_0^v + 0.1 \quad (\text{VI})$$

wherein  $\log \tau_0^m$  and  $\log \tau_0^v$  are log relaxation times of the rheology-modified polymer and the polymer prior to modification, respectively; or

$$MS^m \geq MS^v + 0.5 \text{ cN} \quad (\text{VII})$$

15 wherein  $MS^m$  and  $MS^v$  are melt strengths measured in cN at 150 C of the rheology-modified polymer and the same polymer prior to modification, respectively.

Yet another aspect of this invention is a method of using the intermediates described above in a method for making an article comprising a polymer.

FIG. 1 shows plots of viscosity versus shear rate before and after modification with varying dosages of  $\gamma$ -radiation for a substantially linear ethylene 1-octene copolymer elastomer known as SM 8400 having a density of 0.873 g/cm<sup>3</sup>, a melt index of 32 dg/min., and a molecular weight distribution,  $M_w/M_n$ , of about 2 prior to rheology modification. SM 8400 is a substantially linear ethylene polymer commercially available from The Dow Chemical Company under the trademark Affinity™.

25 FIG. 2 shows plots of viscosity versus shear rate before and after modification with the same dosages of  $\gamma$ -radiation as used to generate the data of FIG. 1 for a substantially linear ethylene 1-octene copolymer plastomer known as HM 1100 having a density of 0.883 g/cm<sup>3</sup>, a melt index of 16.3 dg/min., and a molecular weight distribution,  $M_w/M_n$ , of about 2 prior to rheology modification. HM 1100 is also commercially available from The Dow Chemical Company under the trademark Affinity™.

30 FIG. 3 shows a best linear fit via least squares analysis of log relaxation time ( $\log \tau_0$ ) versus log zero shear rate viscosity ( $\log \eta_0$ ) in poise for SM 8400 and HM 1100.  $\tau_0$  and  $\eta_0$  are obtained from fitting viscosity data such as shown in FIGS. 1



and 2 to the Cross equation  $\eta/\eta_0 = 1/(1+(\dot{\gamma} \cdot \tau_0)^{1-n})$  in which  $\eta$  and  $\dot{\gamma}$  are the measured shear viscosity and corresponding shear rate, respectively, and  $n$  is the power law index of the material.

FIG. 4 shows plots of melt strength in cN at 150 C relative to  $\log M_w$  for SM 8400 and HM 1100 before and after  $\gamma$ -irradiation.

#### 1. Basic Definitions

Unless indicated to the contrary, all parts, percentages and ratios are by weight. The expression "up to" when used to specify a numerical range includes any value less than or equal to the numerical value which follows this expression. The expression "wt percent" means "weight percent".

The term "polymer" means a compound prepared by polymerizing monomers, whether of the same or a different type. The generic term polymer thus embraces the term "homopolymer", usually employed to refer to polymers prepared from only one type of monomer, and the term "interpolymer", as defined hereinafter.

The term "interpolymer" means polymers prepared by the polymerization of at least two different types of monomers. The generic term "interpolymer" thus includes the term "copolymers", which is usually employed to refer to polymers prepared from two different monomers, as well as to polymers prepared from more than two different types of monomers.

The term "homogeneous" polymers are ethylene interpolymers in which any comonomer is randomly distributed within a given interpolymer molecule and substantially all of the interpolymer molecules have the same ethylene/comonomer ratio within that interpolymer. Homogeneous polymers generally are characterized as having a single melting peak between -30 C and 150 C, as determined by differential scanning calorimetry (DSC).

Homogeneous polymers will also typically have a molecular weight distribution,  $M_w/M_n$ , less than or equal to 3 (when the density of the interpolymer is less than 0.960 g/cc) preferably less than or equal to 2.5.

In addition or in the alternative, the homogeneity of the polymers is typically described by the SCBDI (Short Chain Branch Distribution Index) or CDBI (Composition Distribution Branch Index) and is defined as the weight percent of the polymer molecules having a comonomer content within 50 percent of the median total molar comonomer content. The SCBDI of a polymer is readily calculated from data obtained from techniques known in the art, such as, for example, temperature

rising elution fractionation (abbreviated herein as "TREF") as described, for example, in Wild et al, Journal of Polymer Science, Poly. Phys. Ed., Vol. 20, p. 441 (1982), in U.S. Patent 4,798,081 (Hazlitt et al.), or in U.S. Patent 5,089,321 (Chum et al.). The SCBDI or CDBI for the homogeneous linear and for the substantially  
5 linear ethylene/ $\alpha$ -olefin polymers used in the present invention is greater than 50 percent, preferably greater than 70 percent, and more preferably greater than 90 percent.

Heterogenous polymers are ethylene/ $\alpha$ -olefin interpolymers characterized as having a linear backbone and a DSC melting curve having a distinct melting peak  
10 greater than 115°C attributable to a high density fraction. Heterogeneous interpolymers will typically have an  $M_w/M_n$  greater than 3 and will typically have a CDBI less than or equal to 50, indicating that such interpolymers are a mixture of molecules having differing comonomer contents and differing amounts of short chain branching.

15 The term " $I_2$ " refers to the melt index of a polymer measured according to ASTM D-1238, Condition 190 C/2.16 kg (formerly known as "Condition (E)").

The term " $I_{10}$ " refers to the melt index of a polymer measured according to ASTM D-1238, Condition 190 C/10 kg (formerly known as "Condition (N)").

20 The expression "melt flow ratio" as used herein means " $I_{10}/I_2$ " and provides an indication of the processability of the polymer.

The term " $M_n$ " refers to the number average molecular weight of the polymer as determined via gel permeation chromatography.

The term " $M_w$ " refers to the weight average molecular weight of the polymer as determined via gel permeation chromatography.

25 Unless specified otherwise, the expression "molecular weight distribution" refers to the breadth of the molecular weight distribution of the bulk polymer as defined by  $M_w/M_n$ .

The term "crosslinking" as used herein refers to both tetrafunctional (H-type) long chain branching resulting from a covalent linkage between two polymer  
30 molecule backbones and trifunctional (T-type) long chain branching produced when a terminal group of a polymer molecule forms a covalent bond with the backbone of another polymer molecule.

The term "gel" refers to a three-dimensional polymer network which is formed from covalently linked polymer chains. The amount of gel is expressed in terms of

weight-percent based on the total weight of the polymer as determined by ASTM D2765, Procedure A.

Unless specified otherwise, the term "viscosity" refers to shear viscosity in poise (dyne · second/cm<sup>2</sup>). Shear viscosity at shear rates from 0.1 to 100  
5 radians/sec is measured at 190 C under a nitrogen atmosphere using a dynamic mechanical spectrometer, such as the Rheometrics RMS-800 equipped with 25 mm diameter parallel plates, in the oscillatory shear mode at 15 percent strain. The dynamic mechanical spectrometer is used to measure  $\eta_{0.1}$  and  $\eta_{100}$  in Equations (I), (IV) and (V). Shear viscosity may also be measured in the shear range of about 10-  
10 4000 sec<sup>-1</sup> using a capillary rheometer. Poise may be converted to Pascal · second by multiplying by 0.1 Pa·s/poise. The term "cp" refers to centipoise.

The relaxation time,  $\tau_0$ , and zero shear viscosity,  $\eta_0$ , of the polymer are the "best fit" values to the Cross equation, that is,

$$\eta/\eta_0 = 1/(1 + (\gamma \cdot \tau_0)^{1-n})$$

15 in which n is the power law index of the material, and  $\eta$  and  $\gamma$  are the measured shear viscosity and corresponding shear rate, respectively.

## 2. Homogeneous Ethylene Polymers

The homogeneous polyethylenes that can be rheology modified according to  
20 this invention fall into two broad categories, the linear homogeneous polyethylenes and the substantially linear homogeneous polyethylenes. Both are known.

Homogeneous linear ethylene polymers have long been commercially available. As exemplified in U.S. Patent No. 3,645,992 to Elston, homogeneous linear ethylene polymers can be prepared in conventional polymerization processes  
25 using Ziegler-type catalysts such as, for example, zirconium and vanadium catalyst systems. U.S. Patent No. 4,937,299 to Ewen et al. and U.S. Patent No. 5,218,071 to Tsutsui et al. disclose the use of metallocene catalysts, such as catalyst systems based on hafnium, for the preparation of homogeneous linear ethylene polymers. Homogeneous linear ethylene polymers are typically characterized as having a  
30 molecular weight distribution,  $M_w/M_n$ , of about 2. Commercially available examples of homogeneous linear ethylene polymers include those sold by Mitsui Petrochemical Industries as Tafmer™ resins and by Exxon Chemical Company as Exact™ resins.

The substantially linear ethylene polymers (SLEPs) are homogeneous polymers having long chain branching. They are disclosed in U.S. Patent Nos. 5,272,236 and 5,278,272. SLEPs are available from The Dow Chemical Company as polymers made by the Insite™ Process and Catalyst Technology such as

5 Engage™ polyolefin elastomers (POEs) and Affinity™ polyolefin plastomers (POPs). Specific examples of useful Engage™ POEs include SM 8400, EG 8100, and CL 8001 and specific examples of useful Affinity™ POPs include FM-1570, HM 1100, and SM 1300, each of which is commercially available from The Dow Chemical Company. SLEPs can be prepared via the solution, slurry, or gas phase,

10 preferably solution phase, polymerization of ethylene and one or more optional  $\alpha$ -olefin comonomers in the presence of a constrained geometry catalyst, such as is disclosed in European Patent Application 416,815-A.

The substantially linear ethylene/ $\alpha$ -olefin polymers are made by a continuous process using suitable constrained geometry catalysts, preferably constrained

15 geometry catalysts as disclosed in EP 416,815, which published on March 13, 1991; U.S. 5,064,802, which issued on November 12, 1996; U.S. 5,132,380, which issued on July 21, 1992; EP 418,044, which published on March 20, 1991, and EP 520,732, which published June 22, 1992. The monocyclopentadienyl transition metal olefin polymerization catalysts taught in USP 5,026,798, are also suitable for use in

20 preparing the polymers of the present invention, so long as the reaction conditions are as specified below.

Suitable cocatalysts for use herein include but are not limited to, for example, polymeric or oligomeric aluminoxanes, especially methyl aluminoxane, as well as inert, compatible, noncoordinating, ion forming compounds. Preferred cocatalysts

25 are inert, noncoordinating, boron compounds.

The expression "continuous process" means a process in which reactants are continuously added and product is continuously withdrawn such that an approximation of a steady state (that is substantially constant concentration of reactants and product while carrying out the process) is achieved. The

30 polymerization conditions for manufacturing the substantially linear ethylene/ $\alpha$ -olefin polymers of the present invention are generally those useful in the solution polymerization process, although the application of the present invention is not limited thereto. Slurry and gas phase polymerization processes are also believed to

be useful, provided the proper catalysts and polymerization conditions are employed.

Multiple reactor polymerization processes can also be used in making the substantially linear olefin polymers and copolymers to be rheologically modified according to the present invention, such as those disclosed in USP 3,914,342. The multiple reactors can be operated in series or in parallel, with at least one constrained geometry catalyst employed in one of the reactors.

The term "substantially linear" means that, in addition to the short chain branches attributable to homogeneous comonomer incorporation, the ethylene polymer is further characterized as having long chain branches in that the polymer backbone is substituted with an average of 0.01 to 3 long chain branches/1000 carbons. Preferred substantially linear polymers for use in the invention are substituted with from 0.01 long chain branch/1000 carbons to 1 long chain branch/1000 carbons, and more preferably from 0.05 long chain branch/1000 carbons to 1 long chain branch/1000 carbons.

In contrast to the term "substantially linear", the term "linear" means that the polymer lacks measurable or demonstrable long chain branches, that is, the polymer is substituted with an average of less than 0.01 long chain branch/1000 carbons.

For ethylene/ $\alpha$ -olefin interpolymers, "long chain branching" (LCB) means a chain length longer than the short chain branch that results from the incorporation of the  $\alpha$ -olefin(s) into the polymer backbone. Each long chain branch has the same comonomer distribution as the polymer backbone and can be as long as the polymer backbone to which it is attached.

The empirical effect of the presence of long chain branching in the substantial linear ethylene/ $\alpha$ -olefin interpolymers used in the invention is manifested in its enhanced rheological properties which are quantified and expressed herein in terms of gas extrusion rheometry (GER) results and/or melt flow,  $I_{10}/I_2$ , increases.

The presence of short chain branching of up to 6 carbon atoms in length can be determined in ethylene polymers by using  $^{13}\text{C}$  nuclear magnetic resonance (NMR) spectroscopy and is quantified using the method described by Randall (Rev. Macromol. Chem. Phys., C.29, V. 2&3, p. 285-297).

As a practical matter, current  $^{13}\text{C}$  nuclear magnetic resonance spectroscopy cannot distinguish the length of a long chain branch in excess of six carbon atoms. However, there are other known techniques useful for determining the presence of

long chain branches in ethylene polymers, including ethylene/1-octene  
interpolymers. Two such methods are gel permeation chromatography coupled with  
a low angle laser light scattering detector (GPC-LALLS) and gel permeation  
chromatography coupled with a differential viscometer detector (GPC-DV). The use  
5 of these techniques for long chain branch detection and the underlying theories have  
been well documented in the literature. See, for example, Zimm, G.H. and  
Stockmayer, W.H., J.Chem. Phys., 17,1301 (1949) and Rudin, A., Modern Methods  
of Polymer Characterization, John Wiley & Sons, New York (1991) pp. 103-112.

A. Willem deGroot and P. Steve Chum, both of The Dow Chemical  
10 Company, at the October 4, 1994 conference of the Federation of Analytical  
Chemistry and Spectroscopy Society (FACSS) in St. Louis, Missouri, presented data  
demonstrating that GPC-DV is a useful technique for quantifying the presence of  
long chain branches in SLEPs. In particular, deGroot and Chum found that the level  
of long chain branches in homogeneous substantially linear homopolymer samples  
15 measured using the Zimm-Stockmayer equation correlated well with the level of long  
chain branches measured using  $^{13}\text{C}$  NMR.

Further, deGroot and Chum found that the presence of octene does not  
change the hydrodynamic volume of the polyethylene samples in solution and, as  
such, one can account for the molecular weight increase attributable to octene short  
20 chain branches by knowing the mole percent octene in the sample. By  
deconvoluting the contribution to molecular weight increase attributable to 1-octene  
short chain branches, deGroot and Chum showed that GPC-DV may be used to  
quantify the level of long chain branches in substantially linear ethylene/octene  
copolymers.

25 deGroot and Chum also showed that a plot of  $\text{Log}(I_2)$  as a function of  $\text{Log}$   
( $M_w$ ) as determined by GPC illustrates that the long chain branching aspects (but not  
the extent of long branching) of SLEPs are comparable to that of high pressure,  
highly branched low density polyethylene (LDPE) and are clearly distinct from  
ethylene polymers produced using Ziegler-type catalysts such as titanium  
30 complexes and ordinary catalysts for making homogeneous polymers such as  
hafnium and vanadium complexes.

SLEPs are further characterized as having:

(a) a melt flow ratio,  $I_{10}/I_2 \geq 5.63$ ,

- (b) a molecular weight distribution,  $M_w/M_n$ , as determined by gel permeation chromatography and defined by the equation:

$$(M_w/M_n) \leq (I_{10}/I_2) - 4.63,$$

- (c) a critical shear stress at the onset of gross melt fracture, as determined by gas extrusion rheometry, of greater than  $4 \times 10^6$  dynes/cm<sup>2</sup> or a gas extrusion rheology such that the critical shear rate at onset of surface melt fracture for the SLEP is at least 50 percent greater than the critical shear rate at the onset of surface melt fracture for a linear ethylene polymer, wherein the SLEP and the linear ethylene polymer comprise the same comonomer or comonomers, the linear ethylene polymer has an  $I_2$ ,  $M_w/M_n$ , and density which are each within ten percent of the SLEP and wherein the respective critical shear rates of the SLEP and the linear ethylene polymer are measured at the same melt temperature using a gas extrusion rheometer, and
- (d) a single differential scanning calorimetry, DSC, melting peak between -30 and 150 C.

Generally, the  $I_{10}/I_2$  ratio for the linear ethylene polymers is at least about 5.63, preferably at least about 7, especially at least about 8 or above. For the substantially linear ethylene/ $\alpha$ -olefin polymers used in the compositions of the invention, the  $I_{10}/I_2$  ratio indicates the degree of long chain branching, that is, the higher the  $I_{10}/I_2$  ratio, the more long chain branching in the polymer. Generally, the  $I_{10}/I_2$  ratio of the substantially linear ethylene/ $\alpha$ -olefin polymers is at least 5.63, preferably at least 7, especially at least 8 or above, and as high as 25.

The melt index for the substantially linear olefin polymers useful herein is preferably at least 0.1 grams/10 minutes (g/10 min), more preferably at least 0.5 g/10 min and especially at least 1 g/10 min up to preferably 100 g/10 min, more preferably up to 50 g/10 min, and especially up to 20 g/10 min.

Determination of the critical shear rate and critical shear stress in regards to melt fracture as well as other rheology properties such as rheological processing index (PI), is performed using a gas extrusion rheometer (GER). The gas extrusion rheometer is described by M. Shida, R.N. Shroff and L.V. Cancio in Polymer Engineering Science, Vol. 17, No. 11, p. 770 (1977), and in Rheometers for Molten Plastics by John Dealy, published by Van Nostrand Reinhold Co. (1982) on pp. 97-

99. GER experiments are generally performed at a temperature of 190 C, at nitrogen pressures between 250 to 5500 psig (1.7 to 38 MPa) using a 0.0754 mm diameter, 20:1 L/D die with an entrance angle of 180°. For the SLEPs described herein, the PI is the apparent viscosity (in kpoise) of a material measured by GER at  
 5 an apparent shear stress of  $2.15 \times 10^6$  dyne/cm<sup>2</sup> (0.215MPa). The SLEPs for use in the invention includes ethylene interpolymers and have a PI in the range of 0.01 kpoise (0.001 kPa-sec) to 50 kpoise (5 kPa-sec), preferably 15 kpoise (1.5 kPa-sec) or less. The SLEPs used herein have a PI less than or equal to 70 percent of the PI of a linear ethylene polymer (either a Ziegler polymerized polymer or a linear  
 10 uniformly branched polymer as described by Elston in US Patent 3,645,992) having an  $I_2$ ,  $M_w/M_n$  and density, each within ten percent of the SLEPs.

The rheological behavior of SLEPs can also be characterized by the Dow Rheology Index (DRI), which expresses a polymer's "normalized relaxation time as the result of long chain branching." (See, S. Lai and G.W. Knight ANTEC '93  
 15 Proceedings, INSITE™ Technology Polyolefins (SLEP) - New Rules in the Structure/Rheology Relationship of Ethylene  $\alpha$ -Olefin Copolymers, New Orleans, La., May 1993). DRI values range from 0 for polymers which do not have any measurable long chain branching (for example, Tafmer™ products available from Mitsui Petrochemical Industries and Exact™ products available from Exxon  
 20 Chemical Company) to about 15 and are independent of melt index. In general, for low to medium pressure ethylene polymers (particularly at lower densities) DRI provides improved correlations to melt elasticity and high shear flowability relative to correlations of the same attempted with melt flow ratios. For the SLEPs useful in this invention, DRI is preferably at least 0.1, and especially at least 0.5, and most  
 25 especially at least 0.8. DRI can be calculated from the equation:

$$DRI = (3652879 + \tau_o^{1.00649} / \eta_o - 1) / 10$$

where  $\tau_o$  is the characteristic relaxation time of the material and  $\eta_o$  is the zero shear viscosity of the material. Both  $\tau_o$  and  $\eta_o$  are the "best fit" values to the Cross equation, that is,

$$30 \quad \eta / \eta_o = 1 / (1 + (\gamma \cdot \tau_o)^{1-n})$$

in which n is the power law index of the material, and  $\eta$  and  $\gamma$  are the measured viscosity and shear rate, respectively. Baseline determination of viscosity and shear rate data are obtained using a Rheometric Mechanical Spectrometer (RMS-800)



under dynamic sweep mode from 0.1 to 100 radians/second at 190 C and a Gas Extrusion Rheometer (GER) at extrusion pressures from 1,000 psi to 5,000 psi (6.89 to 34.5 MPa), which corresponds to shear stress from 0.086 to 0.43 MPa, using a 0.0754 mm diameter, 20:1 L/D die at 190 C. Specific material determinations can be performed from 140 to 190 C as required to accommodate melt index variations.

An apparent shear stress versus apparent shear rate plot is used to identify the melt fracture phenomena and quantify the critical shear rate and critical shear stress of ethylene polymers. According to Ramamurthy in the Journal of Rheology, 30(2), 337-357, 1986, above a certain critical flow rate, the observed extrudate irregularities may be broadly classified into two main types: surface melt fracture and gross melt fracture.

Surface melt fracture occurs under apparently steady flow conditions and ranges in detail from loss of specular film gloss to the more severe form of "sharkskin." Herein, as determined using the above-described GER, the onset of surface melt fracture (OSMF) is defined as the loss of extrudate gloss. The loss of extrudate gloss is the point at which the surface roughness of the extrudate can only be detected by a 40X magnification. The critical shear rate at the onset of surface melt fracture for the SLEPs is at least 50 percent greater than the critical shear rate at the onset of surface melt fracture of a linear ethylene polymer having essentially the same  $I_2$  and  $M_w/M_n$ .

Gross melt fracture occurs at unsteady extrusion flow conditions and ranges in detail from regular (alternating rough and smooth, helical, etc.) to random distortions. For commercial acceptability to maximize the performance properties of films, coatings and moldings, surface defects should be minimal, if not absent. The critical shear stress at the onset of gross melt fracture for the SLEPs, especially those having a density greater than 0.910 g/cc, used in the invention is greater than  $4 \times 10^6$  dynes/cm<sup>2</sup> (0.4 MPa). The critical shear rate at the onset of surface melt fracture (OSMF) and the onset of gross melt fracture (OGMF) will be used herein based on the changes of surface roughness and configurations of the extrudates extruded by a GER.

The SLEPs used in the invention are also characterized by a single DSC melting peak. The single melting peak is determined using a differential scanning calorimeter standardized with indium and deionized water. The method involves 3-7 mg sample sizes, a "first heat" to about 180 C which is held for 4 minutes, a cool

down at 10 C/min. to -30 C which is held for 3 minutes, and heat up at 10 C/min. to 140 C for the "second heat". The single melting peak is taken from the "second heat" heat flow vs. temperature curve. Total heat of fusion of the polymer is calculated from the area under the curve.

5 For polymers having a density of 0.875 g/cc to 0.910 g/cc, the single melting peak may show, depending on equipment sensitivity, a "shoulder or a "hump" on the low melting side that constitutes less than 12 percent, typically, less than 9 percent, and more typically less than 6 percent of the total heat of fusion of the polymer. Such an artifact is observable for other homogeneously branched polymers such as  
10 Exact™ resins and is discerned on the basis of the slope of the single melting peak varying monotonically through the melting region of the artifact. Such an artifact occurs within 34 C, typically within 27 C, and more typically within 20 C of the melting point of the single melting peak. The heat of fusion attributable to an artifact can be separately determined by specific integration of its associated area under the  
15 heat flow vs. temperature curve.

The SLEPs are analyzed by gel permeation chromatography (GPC) on a Waters 150 C high temperature chromatographic unit equipped with differential refractometer and three columns of mixed porosity. The columns are supplied by Polymer Laboratories and are commonly packed with pore sizes of  $10^3$ ,  $10^4$ ,  $10^5$  and  
20  $10^6$ . The solvent is 1,2,4-trichlorobenzene, from which 0.3 percent by weight solutions of the samples are prepared for injection. The flow rate is 1.0 ml/min, unit operating temperature is 140 C, and the injection size is 100 microliters.

The molecular weight determination with respect to the polymer backbone is deduced by using narrow molecular weight distribution polystyrene standards (from  
25 Polymer Laboratories) in conjunction with their elution volumes. The equivalent polyethylene molecular weights are determined by using appropriate Mark-Houwink coefficients for polyethylene and polystyrene (as described by Williams and Ward in Journal of Polymer Science, Polymer Letters, Vol. 6, p. 621, 1968) to derive the equation  $M_{\text{polyethylene}} = a \cdot (M_{\text{polystyrene}})^b$ .

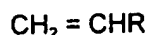
30 In this equation,  $a = 0.4316$  and  $b = 1.0$ . Weight average molecular weight,  $M_w$ , is calculated in the usual manner according to the formula

$$M_w = \sum(w_i \times M_i)$$

where  $w_i$  and  $M_i$  are the weight fraction and molecular weight, respectively, of the  $i^{\text{th}}$  fraction eluting from the GPC column.

The density of the linear or the substantially linear ethylene polymers (as measured in accordance with ASTM D-792) for use in the present invention is generally less than 0.95 g/cm<sup>3</sup>. The density is preferably at least 0.85 g/cm<sup>3</sup> and especially at least 0.86 g/cm<sup>3</sup> and preferably up to 0.94 g/cm<sup>3</sup>, more preferably up to 0.92 g/cm<sup>3</sup>. When the modified resins are to be used for extrusion and injection molding, the density of the polymer is preferably at least 0.855 g/cm<sup>3</sup>, more preferably at least 0.865 g/cm<sup>3</sup>, and even more preferably at least 0.870 g/cm<sup>3</sup>, up to preferably 0.900 g/cm<sup>3</sup>, more preferably 0.885 g/cm<sup>3</sup>, and even more preferably up to 0.880 g/cm<sup>3</sup>. The most preferred density is determined primarily by the modulus of elasticity or flexibility desired in the molded article. The density remains substantially constant during rheology modification according to this invention.

The ethylene polymers which may be rheology modified according to this invention may be any interpolymers of ethylene and at least one  $\alpha$ -olefin. Suitable  $\alpha$ -olefins are represented by the following formula:



in which R is a hydrocarbyl radical. R generally has from one to twenty carbon atoms. Suitable  $\alpha$ -olefins for use as comonomers in a solution, gas phase or slurry polymerization process or combinations thereof include 1-propylene, 1-butene, 1-isobutylene, 1-pentene, 1-hexene, 4-methyl-1-pentene, 1-heptene and 1-octene, as well as other monomer types such as tetrafluoroethylene, vinyl benzocyclobutane, and cycloalkenes, for example cyclopentene, cyclohexene, cyclooctene, and norbornene (NB). Preferably, the  $\alpha$ -olefin will be 1-butene, 1-pentene, 4-methyl-1-pentene, 1-hexene, 1-heptene, 1-octene, or NB, or mixtures thereof. More preferably, the  $\alpha$ -olefin will be 1-hexene, 1-heptene, 1-octene, or mixtures thereof. Most preferably, the  $\alpha$ -olefin will be 1-octene. The ethylene polymer rheology modified according to this invention is preferably a SLEP.

These interpolymers preferably contain at least 2 wt percent, more preferably at least 5 wt percent,  $\alpha$ -olefin.

### 3. Rheology Modification

The rheology of the above ethylene polymers is modified according to this invention by treating the ethylene polymer with a crosslinking agent in an amount sufficient to increase the molecular weight distribution and/or the melt flow ratio, but

less than the amount which would cause more than 0.5 wt percent gel formation, under melt processing conditions, as measured via ASTM D2765, Procedure A. Crosslinking agents include peroxide compounds and other known heat-activated curing agents, such as azo compounds, and electron beam, gamma-ray and other  
5 known radiation cure systems. If the crosslinking agent is a heat-activated substance, for example a peroxide, etc., then this agent is included with the ethylene polymer during melt processing. The various crosslinking agents can be used alone or in combination with one another. Excess or residual peroxide may be available for initiating crosslinking along with another crosslinking agent, for example an  
10 epoxy, electron beam, etc., to further crosslink the ethylene polymer after thermoforming to make a crosslinked molded article having greater than 0.5 wt percent gel up to 100 wt percent gel.

Suitable heat-activated crosslinking agents include free radical initiators, preferably organic peroxides, more preferably those with one hour half lives at  
15 temperatures greater than 120 C. The free radical initiators can be selected from a variety of known free radical initiators such as peroxides (for example, VULCUP™ (a series of vulcanizing and polymerization agents containing  $\alpha, \alpha'$ -bis(t-butylperoxy)-diisopropylbenzene made by Hercules, Inc.), Di-cup™ (a series of vulcanizing and polymerization agents containing dicumyl peroxide made by Hercules, Inc.),  
20 Lupersol™ 130 (2,5-dimethyl-2,5-di(t-butylperoxy)hexyne-3), Lupersol™ 575 (t-amyl peroxy-2-ethylhexonate) (all Lupersol™ peroxides were made by Elf Atochem, North America) or Trigonox™ (an organic peroxide made by Noury Chemical Company)) or radiation treatment ( $\gamma$ ,  $\beta$  or  $\alpha$ , including electron beam irradiation).

In one embodiment, a heat-activated compound, such as a peroxide-  
25 containing compound, may be used as the crosslinking agent. The heat-activated compound is preferably present with the polymer prior to modification in an amount of at least 0.10 equivalents (eq)/1000 carbon atoms, more preferably at least 0.30 eq/1000 carbon atoms, and even more preferably at least 0.50 eq/1000 carbon atoms and preferably up to 1.50 eq/1000 carbon atoms or more, and for some  
30 applications more preferably up to 1.00 eq/1000 carbon atoms, wherein "eq" refers to the equivalents of the free radical generating component of the crosslinking agent, such as equivalents of peroxide when the crosslinking agent is a peroxide-containing compound. The crosslinking agent concentration required to modify a particular polymer depends on the susceptibility of the polymer to crosslinking and is influenced

by factors such as its percentage vinyl unsaturation and the amount of chain branching, especially short chain branching.

The formulations are compounded by any convenient method, including dry blending the individual components and subsequently melt mixing or melt processing, spraying the heat-activated crosslinking agent onto solid polymer pellets and subsequently melt mixing or melt processing or by pre-melt mixing in a separate device (for example, a Banbury mixer, a Haake mixer, a Brabender internal mixer, or a single screw or twin screw extruder). Compounding with a twin screw extruder, such as model ZSK-53 made by Werner and Pfleiderer, is preferred, but other extruder configurations may be used such as those disclosed in U.S. Patent 5,346,963.

The polymer may also be treated with radiation as the crosslinking agent. The amount of energy used to modify the polymer is preferably at least 0.5 Mrad, more preferably at least 2.0 Mrad, and typically up to 50 Mrad. Similar to the case with heat-activated crosslinking agents, the dosage required to modify a particular polymer depends on the susceptibility of the polymer to crosslinking and is influenced by the same factors.

The irradiation conditions are preferably adjusted to avoid unwanted side effects. The irradiation intensity is, for example, preferably adjusted to avoid substantial heating of the polymer, because that might cause the polymer to react with oxygen in the air and dissolved in the polymer, which in turn could cause polymer degradation, resulting in reduction of long-term stability and/or an increased potential to form gels, unless additional measures are taken to prevent contact with oxygen. Excessive heating would also risk fusing discrete polymer particles or pellets together, making it inconvenient to use with conventional melt processing equipment. These side effects may be avoided by adjusting the radiation dosage rate and/or conducting the process in an inert atmosphere. Adjusting the radiation dosage rate is, from a practical standpoint, preferable. The radiation dosage rate is preferably less than 20 Mrad/s, more preferably less than 10 Mrad/s, and even more preferably less than 7 Mrads/s.

The crosslinking agent treatment may be carried out online. Online crosslinking agent treatment is carried out on the polymer as the polymer is produced, preferably immediately after polymerization and devolatilization and prior to first solidification of the polymer (typically by pelletization). When the crosslinking

agent is a heat-activated compound, the compound may be added with a solvent or as a concentrate in a masterbatch. Multiple reactor polymerization processes, operated in series or in parallel, such as described in U.S. Patent 3,914,342 may be used to combine a rheology modified polymer made according to this invention with  
5 one or more additional polymers. The additional polymers may be rheology modified or unmodified. They may be selected from any of the polymers described above that serve as starting materials for rheology-modification according to this invention or they may be other polymers including heterogeneous polymers such as low density polyethylene (LDPE), linear low density polyethylene polymers (LLDPE), high density  
10 ethylene polymers (HDPE), and polymers grafted or copolymerized with various functional groups.

Rheology modification may also be carried out offline. Offline modification may be carried out by treating an unmodified polymer with crosslinking agent after it has been solidified (typically as pellets or granules). When the crosslinking agent is  
15 radiating energy, the polymer may be treated by exposing the polymer, preferably as a solid, to the radiating energy under conditions which allow for control of the amount of energy absorbed by the polymer. When the crosslinking agent is a heat-activated compound as described above, it is either admixed with or coated on the polymer pellets or granules and then the polymer pellets or granules are melt processed or it  
20 is added to the polymer, directly or preferably in the form of a concentrate or masterbatch, during melt processing such as through one of the ports for adding components to the melt often provided on melt processing equipment.

The present invention also encompasses intermediates for making rheology modified polymers according to this invention. Such intermediates include the  
25 radiation or heat-activated compound crosslinked pellets and granules described above which may be melt processed into the finished article alone or in combination with the other polymers described above and they may also be pellets that have been sprayed, coated in some other way, or admixed with a heat-activated crosslinking agent, such as a peroxide compound or azo compound. The heat-activated  
30 compound may be applied neat, with an adjuvant or with a substance that retards the reactivity of the heat-activated compound at temperatures below the intended melt processing temperature. The pellets or granules treated with the heat-activated compound may be further treated to seal the heat-activated compound onto the surface of the pellets or granules, if necessary.

Rheology modification may be carried out using polymer that contains little or no secondary antioxidant. This may be preferred in cases in which the polymer will undergo further processing in which the manufacturer customizes the polymer with its own additive package which includes one or more antioxidants. This may in some instances also be preferred from a cost and polymer color standpoint, since some antioxidants may react with the crosslinking agent, using up some of the antioxidant intended to protect the polymer against oxidation and possibly forming colored byproducts.

The polymer is treated with crosslinking agent in the amount required to cause modification of the shear viscosity, relaxation time and/or melt strength of the polymer in accordance with the conditions specified above in equations (I) to (VII).

In one embodiment, the modification of the shear viscosity results in a log viscosity ratio (that is,  $(\log \eta_{0.1} - \log \eta_{100}) / \log \eta_{100}$ ) of at least 0.30 (that is,  $Z = 0.30$ ). Preferably, the modified polymer has a  $Z$  equal to 0.32, more preferably 0.34, more preferably 0.38, and even more preferably 0.40.

In another embodiment, the rheology-modified polymer has a  $\log \tau_0$  (log relaxation time) at  $\log \eta_0 = 0$  (that is, zero shear viscosity of 1 poise (0.1 kPa·sec),  $b$ , of less than or equal to 5.950, preferably less than or equal to 5.900, and more preferably less than or equal to 5.800, and/or a  $\Delta \log \tau_0 / \Delta \log \eta_0$ ,  $m$ , of greater than or equal to 1.070, preferably greater than or equal to 1.080, and more preferably greater than or equal to 1.090.

In yet another embodiment, the rheology-modified polymer has a melt strength improvement constant,  $K$ , of 0.50 cN, preferably 1.00 cN, more preferably 2.00 cN, even more preferably 3.00 cN, and even more preferably 4.00 cN. When the modified resins are to be used for extrusion and injection molding, the melt strength is preferably at least 0.2 cN, more preferably at least 0.5 cN, and even more preferably at least 1.0 cN, up to preferably 50 cN, more preferably up to 30 cN, and even more preferably up to 20 cN at 150 C.

In a preferred embodiment of this invention, the rheology-modified polymer satisfies at least two of equations (I), (II) and (III). In a more preferred embodiment of this invention, the rheology-modified polymer satisfies all three of equations (I), (II) and (III).

In one embodiment, the process of this invention modifies the polymer such that the modification results in an  $x$  value of 0.5, preferably 0.7 and more preferably 0.8, and a  $y$  value of 0.10, preferably 0.01 and more preferably 0.005.

In another embodiment of the process of this invention, the log relaxation time  
5 (log  $\tau_0$ ) is increased by at least 0.1 units, preferably by at least 0.2 units, and more preferably by 0.3 units up to 6.0 units or higher, preferably up to 5.0 units and more preferably up to 4.0 units and in some cases even more preferably up to 3.0 units.

In another embodiment of this invention, the process of this invention improves melt strength of the polymer by 0.5 cN, preferably by 2.0 cN and more  
10 preferably by 4.0 cN.

In a preferred embodiment of this invention, the process satisfies the conditions defined by equations (IV), (V) and (VI); (IV), (V) and (VII); or (VI) and (VII). In a more preferred embodiment of this invention, the process satisfies all of equations (IV) to (VII).

15 In yet another embodiment of this invention, the melt strength of the polymer is increased by 20 percent, more preferably 40 percent and even more preferably 60 percent.

Melt strength is determined by measuring the maximum tensile force on a molten filament of a polymer melt extruded from a capillary rheometer die at a  
20 constant shear rate of  $33 \text{ sec}^{-1}$  when that filament is being stretched at an acceleration of  $0.24 \text{ cm/s}^2$ , starting at an initial velocity of  $1 \text{ cm/s}$ , by a pair of nip rollers located 10 cm below the the capillary die, the tensile force being measured at the nip rollers.

The molten filament for the above test is preferably generated by heating 10  
25 grams of the polymer packed in the barrel of an Instron capillary rheometer having a capillary die with a 0.21 cm diameter, 4.19 cm length ( $L/D = 19.95$ ) to a temperature sufficient to melt the polymer and obtain a melt strength value in the range from 1 to 15 cN (determined through the technique described below), equilibrating the polymer at that temperature for 5 minutes, and then extruding the polymer from the rheometer  
30 using a piston speed of  $2.54 \text{ cm/min}$ . The tensile force is preferably measured using a Goettfert Rheotens located so that the nip of its nip rollers are 10 cm directly below the point at which the filament exits the capillary die. The nip rollers uniaxially stretch the molten polymer filament at the speed and acceleration specified above, while the tensile force on the spinline of the nip rollers is simultaneously and continuously



measured. The tensile force values are not corrected for swell effects or for the weight of the extrudate hanging from the nip rollers.

As mentioned above, the temperature of the polymer in the capillary rheometer is adjusted to a temperature which generates a melt strength value in the range from 1 to 15 cN ( $MS^{Ta}$ ). That value may then be adjusted to the melt strength at another specified temperature ( $MS^{Tb}$ ) through the equation:

$$MS^{Ta} = \exp \left[ \ln MS^{Tb} + \frac{E_{act}}{R} \left( \frac{1}{T_a} - \frac{1}{T_b} \right) \right]$$

which is based on the well-known Arrhenius equation wherein  $T_a$  and  $T_b$  are the actual and target temperatures of the polymer being extruded by the capillary rheometer, respectively, in degrees Kelvin;  $MS^{Ta}$  and  $MS^{Tb}$  are the actual and predicted melt strengths of the polymer at temperatures  $T_a$  and  $T_b$ , respectively;  $E_{act}$  is the polymer's activation energy and R is the gas constant, 1.987 calories/mole °K. The activation energy is 11,120 calories for any of the homogeneous polymers used in this invention, even after rheology modification.

When the melt strength at 150 C ( $MS^{150C}$ ) is desired, such as for equations (III) and (VII) above, then  $T_b$  is 423.15 °K.

The temperature  $T_a$  can vary over a wide range, but is generally in the range from 353 °K to 513 °K (80 C to 240 C).

When the initial measured  $MS^{Ta}$  falls outside the 1 to 15 cN range, the following equation may be used to adjust the polymer melt temperature to obtain a measurement within the 1 to 15 cN range:

$$T_b = 1 / [(R/E_{act})(\ln MS^{Tb} - \ln MS^{Ta}) + 1/T_a]$$

More than one iteration of melt strength measurement and polymer melt temperature adjustment may be needed to arrive at a temperature  $T_b$  in which  $MS^{Ta}$  falls in the 1 to 15 cN range due to the potential for error when operating the specified equipment outside this range. One skilled in this art is generally familiar with this measurement and knows how to make the appropriate adjustments to obtain reliable melt strength measurements.

The preferred rheology-modified polymers made according to this invention also have an improved DRI. The DRI of these polymers is preferably at least 1.0 units, more preferably at least 5.0 units and even more preferably at least 10.0 units. The DRI of the polymer rheology-modified according to this invention is improved  
5 over that of the polymer prior to rheology modification by preferably at least 0.5 units, more preferably by at least 2.0 units, and even more preferably by at least 8.0 units.

The molecular weight distribution of the modified polymer is less than 4.0, preferably less than 3.5, more preferably less than 3.3. The molecular weight distribution of the modified polymer is preferably greater than that of the polymer prior  
10 to modification by at least 10 percent, more preferably by at least 20 percent, and even more preferably by at least 50 percent.

Preferably, the rheology-modified polymer has a  $M_w$  greater than about 30,000, and more preferably greater than about 40,000.

The melt flow ratio of the modified polymer is preferably at least 7.0, more  
15 preferably at least 10.0, and even more preferably at least 14.0. The melt flow ratio of the modified polymer is preferably greater than that of the polymer prior to modification by at least 10 percent, more preferably at least 50 percent, and even more preferably at least 100 percent.

The melt index of the rheology-modified polymer is preferably less than 50  
20 g/10 min., more preferably less than 15 g/10 min., even more preferably less than 12 g/10 min., and even more preferably less than 6 dg/min., and preferably, for practical reasons, greater than 0.1 g/10 min. When the modified resins are to be used for extrusion and injection molding, the melt index of the modified polymer is preferably at least 0.5 g/10 min., more preferably at least 5 g/10 min., and even more preferably  
25 at least 8 g/10 min. The most preferred melt index of the modified polymer is determined by balancing processability (melt strength and melt fracture) without producing more than 0.5 wt percent gel.

Preferably, modification of the polymer results in less than 0.3 percent gel formation under melt processing conditions, more preferably less than 0.1 percent  
30 gel, and even more preferably no measurable gel formation.

The preferred rheology-modified polymers according to this invention are also substantially free of gel defects. Gel defects are caused by thermo-oxidative crosslinking which gives rise to the formation of small globular masses of polymer which have not blended completely with the surrounding polymer due primarily to the

viscosity differential between the globular mass and the surrounding polymer.

Because they generally comprise only a small proportion of the bulk polymer, they are difficult to quantify using a test such as ASTM D2765, Procedure A. That is why gel defects are generally measured manually (if in a piece of sheet or film) or with an

5 instrument such as a laser gel counter. In one manual method of measuring gel defects, a film sample of fixed dimensions is placed over a light box and the number of visible gel defects per unit area is counted. An example of a laser gel counter is the Intec Laser Gel Counter, Model 5000, manufactured by Intec, Inc. Using a laser gel counter, it is possible to count the number of gel defects and to classify the gel  
10 defects by size. The rheology-modified polymers according to this invention preferably have less than 200, more preferably less than 100, gel defects greater than 0.03 inches (0.08 cm) in nominal diameter per 10,000 inches<sup>2</sup> (65,000 cm<sup>2</sup>).

Rheology modification of polymers according to this invention may also be used to reduce the potential for onset of melt fracture. Reducing the potential for  
15 gross melt fracture and surface melt fracture is often substantially improved relative to the values obtained with the same polymer prior to modification.

The amount of crosslinking agent required to satisfy one or more of these conditions for a specific polymer varies depending primarily on the specific end use of the modified polymer and the susceptibility of the polymer to crosslinking. The  
20 susceptibility of the polymer to crosslinking is in part affected by the amount of vinyl unsaturation/1000 carbon atoms and the amount of short chain branching/1000 carbon atoms.

The amount of vinyl unsaturation can be quantified by infrared (IR) spectroscopy according to the method described in Dole, *Polym. Plast. Technol. Engineering*, Vol 13, p.41 (1971) using the equation:  
25

$$\text{vinyls/1000 carbon atoms} = \text{IR absorbancy at } 909 \text{ cm}^{-1} / (0.970 \times t)$$

wherein  $t$  is the thickness of the polymer film in millimeters (see also Chum, *J. Plastic Film & Sheeting*, Vol. 8, pp. 37-47 (1992)). In a preferred embodiment, the  
30 polymer prior to modification has a vinyl unsaturation less than 0.30/1000 carbon atoms, more preferably less than 0.10/1000 carbon atoms.

The amount of short chain branching/1000 carbon atoms due to comonomer incorporation may generally be inversely correlated with the density of the polymer.

the short chain branching/1000 carbon atoms generally increasing with decreasing density.

Given this disclosure of the invention, matching the appropriate dosage of crosslinking agent to the polymer to be modified is a matter of routine experimentation within the ambit of one of ordinary skill.

There are many types of molding operations which can be used to form useful fabricated articles or parts from the formulations disclosed herein, including various injection molding processes (for example, that described in Modern Plastics Encyclopedia/89, Mid October 1988 Issue, Volume 65, Number 11, pp. 264-268, "Introduction to Injection Molding" and on pp. 270-271, "Injection Molding Thermoplastics" and blow molding processes (for example, that described in Modern Plastics Encyclopedia/89, Mid October 1988 Issue, Volume 65, Number 11, pp. 217-218, "Extrusion-Blow Molding", profile extrusion, calendering, and pultrusion.

The rheology-modified ethylene polymers, processes for making them, and intermediates for making them of this invention are useful in the automotive area, industrial goods, building and construction, electrical (for example, wire and cable coatings/insulation) and tire products. Some of the fabricated articles include automotive hoses, single ply roofing, and wire and cable voltage insulation and jackets.

Film and film structures particularly benefit from this invention and can be made using conventional hot blown film fabrication techniques or other biaxial orientation processes such as tenter frames or double bubble processes. Conventional hot blown film processes are described, for example, in The Encyclopedia of Chemical Technology, Kirk-Othmer, Third Edition, John Wiley & Sons, New York, 1981, vol. 16, pp. 416-417 and Vol. 18, pp. 191-192. Biaxial orientation film manufacturing process such as described in a "double bubble" process as in U.S. Patent 3,456,044 (Pahlke), and the processes described in U.S. Patent 4,352,849 (Mueller), U.S. Patent 4,597,920 (Golike), U.S. Patent 4,820,557 (Warren), U.S. Patent 4,837,084 (Warren), U.S. Patent 4,865,902 (Golike et al.), U.S. Patent 4,927,708 (Herran et al.), U.S. Patent 4,952,451 (Mueller), U.S. Patent 4,963,419 (Lustig et al.), and U.S. Patent 5,059,481 (Lustig et al.), can also be used to make film structures from the novel compositions described herein. The film structures can also be made as described in a tenter-frame technique, such as that used for oriented polypropylene.

Other multi-layer film manufacturing techniques for food packaging applications are described in Packaging Foods With Plastics, by Wilmer A. Jenkins and James P. Harrington (1991), pp. 19-27, and in "Coextrusion Basics" by Thomas I. Butler, Film Extrusion Manual: Process, Materials, Properties pp. 31-80 (published by the TAPPI Press (1992)).

The films may be monolayer or multilayer films. The film made using this invention can also be coextruded with the other layer(s) or the film can be laminated onto another layer(s) in a secondary operation, such as that described in Packaging Foods With Plastics, by Wilmer A. Jenkins and James P. Harrington (1991) or that described in "Coextrusion For Barrier Packaging" by W.J. Schrenk and C.R. Finch, Society of Plastics Engineers RETEC Proceedings, June 15-17 (1981), pp. 211-229. If a monolayer film is produced via tubular film (that is, blown film techniques) or flat die (that is, cast film) as described by K.R. Osborn and W.A. Jenkins in "Plastic Films, Technology and Packaging Applications" (Technomic Publishing Co., Inc., 1992), then the film must go through an additional post-extrusion step of adhesive or extrusion lamination to other packaging material layers to form a multilayer structure. If the film is a coextrusion of two or more layers (also described by Osborn and Jenkins), the film may still be laminated to additional layers of packaging materials, depending on the other physical requirements of the final film. "Laminations vs. Coextrusion" by D. Dumbleton (Converting Magazine (September 1992), also discusses lamination versus coextrusion. Monolayer and coextruded films can also go through other post extrusion techniques, such as a biaxial orientation process.

Extrusion coating is yet another technique for producing multilayer film structures using the novel compositions described herein. The novel compositions comprise at least one layer of the film structure. Similar to cast film, extrusion coating is a flat die technique. A sealant can be extrusion coated onto a substrate either in the form of a monolayer or a coextruded extrudate.

Generally for a multilayer film structure, the novel compositions described herein comprise at least one layer of the total multilayer film structure. Other layers of the multilayer structure include but are not limited to barrier layers, and/or tie layers, and/or structural layers. Various materials can be used for these layers, with some of them being used as more than one layer in the same film structure. Some of these materials include: foil, nylon, ethylene/vinyl alcohol (EVOH) copolymers, polyvinylidene chloride (PVDC), polyethylene terephthalate (PET), oriented

polypropylene (OPP), ethylene/vinyl acetate (EVA) copolymers, ethylene/acrylic acid (EAA) copolymers, ethylene/methacrylic acid (EMAA) copolymers, LLDPE, HDPE, LDPE, nylon, graft adhesive polymers (for example, maleic anhydride grafted polyethylene), and paper. Generally, the multilayer film structures comprise from 2 to  
5 7 layers.

Such articles comprising the rheology-modified polymer of this invention may be made by melt processing the rheology-modified polymer according to this invention. That process may include processing pellets or granules of polymer which have been rheology-modified according to this invention. In a preferred embodiment,  
10 the pellets or granules are substantially free of unreacted crosslinking agent when the crosslinking agent comprises a heat-activated crosslinking agent.

Such articles may also be made by melt processing an intermediate comprising a homogeneous polymer which is not substantially free of unreacted crosslinking agent. Such intermediates are preferably treated with a crosslinking  
15 agent, but are not subjected to subsequent melt processing until the polymer is melted to make the article. The crosslinking agent may be either radiation or a heat-activated crosslinking agent.

The rheology-modified polymers and intermediates used to make rheology-modified polymers may be used alone or in combination with one or more additional  
20 polymers in a polymer blend. When additional polymers are present, they may be selected from any of the modified or unmodified homogeneous polymers described above for this invention and/or any modified or unmodified heterogeneous polymers.

The heterogeneous polyethylenes that may be combined with the rheology-modified polymers according to this invention fall into two broad categories, those  
25 prepared with a free radical initiator at high temperature and high pressure, and those prepared with a coordination catalyst at high temperature and relatively low pressure. The former are generally known as low density polyethylenes (LDPE) and are characterized by branched chains of polymerized monomer units pendant from the polymer backbone. LDPE polymers generally have a density between about 0.910  
30 and 0.935 g/cc. Ethylene polymers and copolymers prepared by the use of a coordination catalyst, such as a Ziegler or Phillips catalyst, are generally known as linear polymers because of the substantial absence of branch chains of polymerized monomer units pendant from the backbone. High density polyethylene (HDPE), generally having a density of about 0.941 to about 0.965 g/cc, is typically a

homopolymer of ethylene, and it contains relatively few branch chains relative to the various linear copolymers of ethylene and an  $\alpha$ -olefin. HDPE is well known, commercially available in various grades, and may be used in this invention.

Linear copolymers of ethylene and at least one  $\alpha$ -olefin of 3 to 12 carbon  
5 atoms, preferably of 4 to 8 carbon atoms, are also well known and commercially available. As is well known in the art, the density of a linear ethylene/ $\alpha$ -olefin copolymer is a function of both the length of the  $\alpha$ -olefin and the amount of such monomer in the copolymer relative to the amount of ethylene, the greater the length of the  $\alpha$ -olefin and the greater the amount of  $\alpha$ -olefin present, the lower the density of  
10 the copolymer. Linear low density polyethylene (LLDPE) is typically a copolymer of ethylene and an  $\alpha$ -olefin of 3 to 12 carbon atoms, preferably 4 to 8 carbon atoms (for example, 1-butene, 1-octene, etc.), that has sufficient  $\alpha$ -olefin content to reduce the density of the copolymer to that of LDPE. When the copolymer contains even more  $\alpha$ -olefin, the density will drop below about 0.91 g/cc and these copolymers are known  
15 as ultra low density polyethylene (ULDPE) or very low density polyethylene (VLDPE). The densities of these linear polymers generally range from about 0.87 to 0.91 g/cc.

Both the materials made by the free radical catalysts and by the coordination catalysts are well known in the art, as are their methods of preparation. Heterogeneous linear ethylene polymers are available from The Dow Chemical  
20 Company as Dowlax™ LLDPE and as Attane™ ULDPE resins. Heterogeneous linear ethylene polymers can be prepared via the solution, slurry or gas phase polymerization of ethylene and one or more optional  $\alpha$ -olefin comonomers in the presence of a Ziegler Natta catalyst, by processes such as are disclosed in U.S. Patent No. 4,076,698 to Anderson et al. Preferably, heterogeneous ethylene  
25 polymers are typically characterized as having molecular weight distributions,  $M_w/M_n$ , in the range of from 3.5 to 4.1. Relevant discussions of both of these classes of materials, and their methods of preparation are found in U.S. Patent No. 4,950,541 and the patents to which it refers.

The polymer mixture of this invention may further include recycled and scrap  
30 materials and diluent polymers (both encompassed by the expression "diluent materials"), to the extent that the desired performance properties are maintained. Exemplary diluent materials include, for example, elastomers, rubbers and anhydride modified polyethylenes (for example, polybutylene and maleic anhydride grafted LLDPE and HDPE) as well as with high pressure polyethylenes such as, for example,

low density polyethylene (LDPE), EAA interpolymers, ethylene/vinyl acetate (EVA) interpolymers and ethylene/methacrylate (EMA) interpolymers, and combinations thereof. In some instances, it is preferable for the polymer mixture of this invention to contain less than 50 wt percent, more preferably less than 30 wt percent and even  
5 more preferably less than 10 wt percent, diluent material, particularly when the diluent material is a styrene copolymer, more particularly when the diluent material is a styrene/butadiene rubber and even more particularly when the diluent material is a styrene/butadiene/styrene block copolymer (SBS).

The weight ratio of rheology-modified polymer according to this invention to  
10 one or more unmodified homogeneous polymers, heterogeneous polymers, recycled and scrap materials, and diluent polymers described above may range from 1:99 to 99:1. In one embodiment, the weight ratio is in the range from 10:90 to 90:10. In a further embodiment, the weight ratio is in the range from 30:70 to 70:30.

Additives, such as antioxidants (for example, hindered phenolics, such as  
15 Irganox™ 1010 or Irganox™ 1076 supplied by Ciba Geigy and phosphates (for example, Irgafos™ 168 also supplied by Ciba Geigy, Sandostab PEPQ™ supplied by Sandoz)), cling additives (for example, PIB), tackifiers, plasticizers, oils, peroxides (such as for wire and cable coating applications), pigments, colorants, and fillers may also be included in the polymer compositions and articles comprising a rheology-  
20 modified polymer according to the present invention. Although generally not required, films, coatings and moldings formed from the polymer mixture of the present invention may also contain additives to enhance antiblocking, mold release and coefficient of friction characteristics including, but not limited to, untreated and treated silicon dioxide, talc, calcium carbonate, and clay, as well as primary,  
25 secondary and substituted fatty acid amides, release agents, silicone coatings, etc. Still other additives, such as quaternary ammonium compounds alone or in combination with ethylene-acrylic acid (EAA) copolymers or other functional polymers, may also be added to enhance the antistatic characteristics of films, coatings and moldings formed from the polymer mixture of the invention and permit  
30 the use of these polymer mixtures in, for example, the heavy-duty packaging of electronically sensitive goods.

The following examples are illustrative of certain specific embodiments of this invention.



4. Experimental

- The polymers identified in Table 1 are melt processed and tested for change in melt index and change in low and high shear viscosity. In addition, these polymers are treated with peroxide as the crosslinking agent (and in the case of
- 5 Samples 1, 4 and 5 also treated with radiant energy as the crosslinking agent).

Table 1

Sample	Polymer	Percent Comonomer	I <sub>2</sub> (g/10 min)	Density (g/cm <sup>3</sup> )	I <sub>10</sub> /I <sub>2</sub>	M <sub>w</sub> /M <sub>n</sub>
1	SLEP	12.5	16.30	0.8828	7.08	2.00
2	SLEP	23.5	14.70	0.8731	7.39	2.04
3	SLEP	22.5	4.90	0.8736	7.38	1.99
4	SLEP	25.0	0.90	0.8694	6.88	1.91
5	SLEP	23.5	0.47	0.8709	7.57	2.00
6	SLEP	12.5	0.80	0.9015	8.60	2.04
7	Exact™ 3028	NM	1.09	0.9026	5.57	NM
8	Tafmer™ A 4085	NM	3.60	0.8800	NM	NM
9*	Attane™ 4403	13.5	0.76	0.9035	9.09	3.88

\*Comparison example only; not an example of the invention

Exact™ is a trademark of and made by Exxon Chemical

Tafmer™ is a trademark of and made by Mitsui Petrochemical

- 10 Attane™ is a trademark of and made by The Dow Chemical Company

- The SLEP's are all ethylene/1-octene copolymers produced using Dow Insite™ Technology. The Exact™ 3028 resin is a linear homogeneously branched ethylene/butene copolymer resin (6.6 mol percent butene) and has an antioxidant
- 15 package comprising 170 ppm Irganox 1076™ and 378 ppm trisnonylphenyl phosphite, while the Tafmer™ A 4085 is a linear homogeneously branched ethylene copolymer resin. The Attane™ 4403 is a ultra-low density heterogeneously branched linear ethylene-1-octene copolymer which has not only an antioxidant package
- 20 comprising 200 ppm Irganox 1010™, but also has 2000 ppm of PEPQ added. All of the SLEP resins have an antioxidant package comprising 500 ppm Irganox 1076™ and 800 ppm PEPQ.

Example 1: Melt Processed Examples

Samples 2-4, 6 and 9\* are measured for melt index and viscosity at low and high shear rate and then reextruded on a Werner and Pfleiderer ZSK-53 twin screw extruder. After reextrusion, the samples are again tested for melt index and viscosity at low and high shear. Viscosity is determined using a dynamic mechanical spectrometer, the Rheometrics RMS-800 equipped with 25 mm diameter parallel plates in the oscillatory shear mode. Frequency sweeps are performed over the shear range of 0.1 to 100 radians/second at 15 percent strain at 190 C in a nitrogen atmosphere. High shear rate data is measured on a constant stress gas extrusion rheometer (GER) in the shear rate range of about 10-1000 sec<sup>-1</sup>. The GER is the same as described above. The results are shown below in Table 2:

Table 2

Sample	Melt Processed	Final I <sub>2</sub> (g/10 min)	Viscosity at 0.1 rad/sec (poise (Pa·sec))	Viscosity at 100 rad/sec (poise (Pa·sec))
2	no	14.4	5740 (574)	3440 (344)
2	yes	14.0	5860 (586)	3510 (351)
4	no	0.90	96900 (9690)	18800 (1880)
4	yes	0.95	92300 (9230)	18100 (1810)
6	no	0.80	132000 (13200)	17300 (1730)
6	yes	0.89	122000 (12200)	15820 (1582)
9*	no	0.76	105000 (10500)	17400 (1740)
9*	yes	0.55	134000 (13400)	17300 (1730)

\*Comparison example only; not an example of the invention

As the data in Table 2 show, the homogeneously branched SLEP resins, after melt processing, have lower or equivalent viscosity at low shear (0.1 rad/sec) after reextruding than as virgin polymer, while the heterogeneously branched polyethylene has higher viscosity at low shear, indicating that the heterogeneously branched polyethylene has significantly changed structure during the reextrusion resulting in more difficult processability at low shear. These effects are particularly pronounced when comparing sample 6 and 9\* (having similar melt index and density as virgin ("non-melt processed") polymers).

**Examples 1-3: Radiation Modification****Example 1: Effect of  $\gamma$ -irradiation on the properties of Sample 1**

5 Table 3 shows data on the properties of Sample 1 before and after irradiation using  $\gamma$  particles.

Table 3

Physical Property	Sample 1	Sample 1a	Sample 1b	Sample 1c
Mrads	0	3	6	10.5
$I_2$ (g/10 min)	16.3	9.19	2.48	0.35
$I_1/I_2$	7.08	9.01	11.34	17.37
Density (g/cc)	0.8828	0.8821	0.8814	0.8820
$M_w$	54300	62900	92000	117600
$M_n$	27300	28100	29900	27900
$M_w/M_n$	1.989	2.238	3.076	4.215
$\eta$ (0.1Hz) Poise (Pa-sec)	6030 (603)	11800 (1180)	57500 (5750)	165000 (16500)
$\eta$ (100 Hz) Poise (Pa-sec)	3460 (346)	3670 (367)	5340 (534)	6470 (647)
Log $\eta$ ratio	0.07	0.14	0.28	0.37
$\Delta$ Log $\eta$ (0.1Hz)	NA	0.29	0.98	1.44
$\Delta$ Log $\eta$ (100 Hz)	NA	0.03	0.19	0.27
DRI	0.20	0.69	7.73	43.4
MS <sup>150</sup> (cN)	0.75	2.40	14.00	NM
MS Improvement (cN)	NA	0.35	5.77	NM
Hardness (Shore A)	81.4	79.4	80.2	81.0
Tensile Break (psi (MPa))	1000 (6.9)	1112 (7.7)	1283 (8.8)	1179 (8.1)
Strain at Break ( percent)	1164	1147	1117	1099

$$\text{Log } \eta \text{ ratio} = (\log \eta_{0.1} - \log \eta_{100}) / \log \eta_{100}$$

$$\Delta \text{Log } \eta(0.1 \text{ Hz}) = \log \eta_{0.1}^m - \log \eta_{0.1}^v$$

$$\Delta \text{Log } \eta(100 \text{ Hz}) = \log \eta_{100}^m - \log \eta_{100}^v$$

$$\text{MS Improvement} = \text{MS}^{150c} - 72.079 (\log M_w)^2 + 666.28 (\log M_w) - 1539.5$$

"NA" means "not applicable"

10

Example 2: Effect of electron beam irradiation on low and high shear rate viscosity of Sample 4

5 Table 4 describes the melt index change and low and high shear viscosity change before and after electron beam irradiation (at 0 and 2.5 Mrad dosages) of Sample 4. The samples were in the form of solid polymer 1/8 inch (0.3 cm) diameter nominally spherical pellets which were moved through the electron beam on 60 inch (150 cm) by 50 inch (130 cm) carts. The carts were loaded with 25  
10 pounds (11 kg) of pellets for each run. The electron beam output was set at 34 milliamperes, 4.5 MeV and 86 percent scan.

Table 4

Physical Property	Sample 4	Sample 4a
Mrads	0.00	2.50
$\eta(0.1 \text{ Hz})$ Poise (Pa-sec)	96850 (9685)	942000 (94200)
$\eta(100 \text{ Hz})$ Poise (Pa-sec)	18820 (1882)	16740 (1674)
Log $\eta$ ratio	0.17	0.41
$\Delta \text{Log } \eta(0.1 \text{ Hz})$	NA	1.0
$\Delta \text{Log } \eta(100 \text{ Hz})$	NA	-0.04

$$\text{Log } \eta \text{ ratio} = (\log \eta_{0.1} - \log \eta_{100}) / \log \eta_{100}$$

$$\Delta \text{Log } \eta(0.1 \text{ Hz}) = \log \eta_{0.1}^m - \log \eta_{0.1}^v$$

$$\Delta \text{Log } \eta(100 \text{ Hz}) = \log \eta_{100}^m - \log \eta_{100}^v$$

"NA" means "not applicable"

Example 3

20 Table 5 describes the melt index change and low and high shear viscosity change before and after electron beam irradiation (at 0.75 and 1.0 Mrad dosages) of Sample 5. As in Example 2 the samples were in the form of solid polymer 1/8 inch (0.3 cm) diameter nominally spherical pellets which were moved through the electron beam on 60 inch (150 cm) by 50 inch (130 cm) carts at 25 pounds (11 kg) of pellets per run. The electron beam output and scan were the same as in Example 2.

A dosage of 0.75 Mrad was accomplished by moving the cart through the beam at 49 feet per minute (FPM) (15 meters per minute), a dosage of 1.0 Mrad was accomplished by moving the cart through the same beam at the rate of 46 FPM (14 meters per minute).

5

Table 5

Physical Property	Sample 5	Sample 5a	Sample 5b
Mrads	0.00	0.75	1.00
$\eta$ (0.1 Hz) Poise (Pa·sec)	187,200 (18720)	376,800 (37680)	507,700 (50770)
$\eta$ (100 Hz) Poise (Pa·sec)	24,540 (2454)	22,360 (2336)	23,400 (2340)
Log $\eta$ ratio	0.20	0.28	0.31
$\Delta$ Log $\eta$ (0.1 Hz)	NA	0.30	0.43
$\Delta$ Log $\eta$ (100 Hz)	NA	-0.04	-0.02

$$\text{Log } \eta \text{ ratio} = (\log \eta_{0.1} - \log \eta_{100}) / \log \eta_{100}$$

$$\Delta \text{Log } \eta(0.1 \text{ Hz}) = \log \eta_{0.1}^m - \log \eta_{0.1}^y$$

$$\Delta \text{Log } \eta(100 \text{ Hz}) = \log \eta_{100}^m - \log \eta_{100}^y$$

"NA" means "not applicable"

10

#### Examples 4 -15: Peroxide Modification

The peroxide modification is accomplished using a Werner and Pfleiderer ZSK-53 twin-screw extruder which is a co-rotating fully intermeshing extruder that uses three-lobed screw elements and is capable of screw speeds of up to 450 revolutions per minute (rpm). The extruder has a feed section of 4.0 diameters (212 mm) and four additional barrel sections with a length of 7.5 diameters (400 mm) each. The ZSK-53 extruder is equipped with a diverter valve, gear pump, screen changer and underwater pelletizer. Maximum production for this system is 300 lbs/hour (140 kg/hr). Production rate for the samples described herein is 100 lbs/hour (45 kg/hr).

20

Examples 4 -15 are peroxide modified using the ZSK-53 twin screw extruder. For Examples 4 -14, the peroxide is Lupersol 130 and for Example 15, the peroxide is Lupersol 575. A solution of the selected peroxide is mixed with methyl ethyl ketone (supplied by Southwest Solvents and Chemicals) at various weight ratios to allow a target flow rate of 4 lbs/hour (1.8 kg/hr) for all samples and fed to the

25

extruder with a diaphragm metering pump (Pulsa Feeder model no. 7120-S-E). This pump has a maximum capacity of 25 lbs/hour (11 kg/hr) at 1500 psig (10.3 MPa) back pressure. The solution is fed from 1.5 gallon (5.7 liters) stainless steel feed tanks, each padded with 5-10 psig (0.03-0.07 MPa) nitrogen. The solution is  
5 pumped from the feed tanks through a micromotion flow meter (Model 6) calibrated at 0-15 lbs/hour (0-6.8 kg/hr). The solution is fed to the extruder through a stainless steel injector purchased from Werner and Pfleiderer, which is capable of providing adjustable back pressures of 0-3000 psi (0 to 2.1 MPa). Operating pressure is 600-1200 psi (4.1 to 8.3 MPa). Solvent and peroxide by-products are removed by a  
10 devolatilization system consisting of two stainless steel knock-out vessels cooled by methylene chloride to a temperature of -40C to -50C. The vacuum pump used is a Beach Russ high vacuum rotary pump that uses a once-through oil system to create the seal. The vacuum system is capable of 16 to 29 inches of mercury (0.054 to 0.098 MPa) absolute vacuum.

15

Example 4: Effect of Peroxide Addition on the Properties of Sample 2

Table 6 shows data on the properties of Sample 2 before and after peroxide treatment.

Table 6

Physical Property	Sample 2*	Sample 2A	Sample 2B	Sample 2C	Sample 2D
Wt percent Lupersol™ 130	0.000	0.099	0.302	0.573	1.030
Eqs. ROOR/1000C	0.000	0.097	0.296	0.561	1.010
$I_2$ (g/10 min)	15.91	12.58	8.01	3.18	0.57
$I_{10}/I_2$	7.39	7.79	9.15	11.77	12.96
Density (g/cc)	0.8733	0.8732	0.8729	0.8730	0.8731
Mw	59700	62900	71400	93100	131600
Mn	29200	30600	29400	30900	30400
Mw/Mn	2.04	2.06	2.43	3.01	4.33
$\eta$ (0.1 Hz) Poise (Pa-sec)	5740 (574)	7410 (741)	14600 (1460)	52770 (5277)	154000 (15400)
$\eta$ (100 Hz) Poise (Pa-sec)	3440 (344)	3640 (364)	4090 (409)	5114 (511)	6430 (643)
Log $\eta$ ratio	0.063	0.087	0.153	0.273	0.362
$\Delta$ Log $\eta$ (0.1 Hz)	NA	0.11	0.40	0.96	1.43
$\Delta$ Log $\eta$ (100 Hz)	NA	0.02	0.07	0.17	0.27
DRI	0.19	0.29	0.85	7.10	38.24
Melt Tension (g)	NM	0.12	2.75	3.66	NA
MS <sup>150C</sup> (cN)	0.81	NM	3.79	16.45	66.75
MS Improvement (cN)	NA	NM	0.15	8.00	49.15
Hardness (Type D)	22	22	22	22	22
Tensile Break (psi (MPa))	763.9 (5.3)	739.7 (5.1)	839.2 (5.8)	924.4 (6.4)	915.5 (6.3)
Strain at Break ( percent)	1118	1177	1241	1203	1039

\*Sample 2 was not reextruded

"NM" means "not measured"

"NA" means "not applicable"

Log  $\eta$  ratio =  $(\log \eta_{0.1} - \log \eta_{100}) / \log \eta_{100}$  $\Delta$ Log  $\eta$ (0.1 Hz) =  $\log \eta_{0.1}^m - \log \eta_{0.1}^v$  $\Delta$ Log  $\eta$ (100 Hz) =  $\log \eta_{100}^m - \log \eta_{100}^v$ MS Improvement =  $MS^{150C} - 72.079 \times (\log M_w)^2 + 666.28(\log M_w) - 1539.5$ 

5

10

Example 5: Effect of peroxide addition on low and high shear rate viscosity of Sample 4

Table 7 describes the melt index change and low and high shear viscosity change before and after peroxide treatment of Sample 4:

5

Table 7

Physical Property	Sample 4	Sample 4B	Sample 4C	Sample 4D
wt percent Lupersol™ 130	0.00	0.30	0.60	1.0
Eqs ROOR/1000C	0.00	0.29	0.59	0.98
Final I <sub>2</sub> (g/10min)	0.90	0.13	0.03	NM
η(0.1 Hz) Poise (Pa·sec)	96900 (9690)	518000 (51800)	850000 (85000)	1480000 (148000)
η(100 Hz) Poise (Pa·sec)	18800 (1880)	17600 (1760)	16320 (1632)	14700 (1470)
Log η ratio	0.17	0.35	0.41	0.48
ΔLog η(0.1 Hz)	NA	0.72	0.94	1.18
ΔLog η(100 Hz)	NA	-0.03	-0.06	-0.11

NM = Not Measured

NA - Not Applicable

Log η ratio = (log η<sub>0.1</sub> - log η<sub>100</sub>) / log η<sub>100</sub>

ΔLog η(0.1 Hz) = log η<sub>0.1</sub><sup>m</sup> - log η<sub>0.1</sub><sup>v</sup>

ΔLog η(100 Hz) = log η<sub>100</sub><sup>m</sup> - log η<sub>100</sub><sup>v</sup>

10



Example 6: Effect of peroxide addition on low and high shear rate viscosity ofSample 5

Table 8 describes the melt index change and low and high shear viscosity change before and after peroxide treatment of Sample 5:

Table 8

Physical Property	Sample 5	Sample 5A	Sample 5B	Sample 5C	Sample 5D	Sample 5E
wt percent Lupersol™ 130	0.00	0.10	0.30	0.60	1.00	1.50
Eqs ROOR/1000C	0.00	0.10	0.29	0.59	0.98	1.47
Final I <sub>2</sub> (g/10min)	0.47	0.39	0.13	0.03	NM	NM
η(0.1 Hz) Poise (Pa-sec)	187000 (18700)	302000 (30200)	608000 (60800)	1000000 (100000)	1650000 (165000)	2060000 (206000)
η(100 Hz) Poise (Pa-sec)	24500 (2450)	21400 (2140)	19800 (1980)	17520 (1752)	14400 (1440)	13000 (1300)
Log η ratio	0.19	0.26	0.35	0.41	0.50	0.53
ΔLog η(0.1 Hz)	NA	0.24	0.51	0.73	0.95	1.04
ΔLog η(100 Hz)	NA	-0.01	-0.09	-0.15	-0.23	-0.28

NM = Not Measured

NA = Not Applicable

Log η ratio = (log η<sub>0.1</sub> - log η<sub>100</sub>)/log η<sub>100</sub>

ΔLog η(0.1 Hz) = log η<sub>0.1</sub><sup>m</sup> - log η<sub>0.1</sub><sup>v</sup>

ΔLog η(100 Hz) = log η<sub>100</sub><sup>m</sup> - log η<sub>100</sub><sup>v</sup>

10

**Example 7: Effect of peroxide addition on low and high shear rate viscosity of Samples 4 and 6**

Table 9 describes the melt index change and low and high shear viscosity change before and after peroxide treatment of Samples 4 and 6 (having similar melt index but differing density):

Table 9

Physical Property	Sample 4	Sample 4A	Sample 6	Sample 6A
wt percent Lupersol™ 130	0.00	0.10	0.00	0.10
Eqs ROOR/1000C	0.00	0.10	0.00	0.10
Final I <sub>2</sub> (g/10min)	0.90	0.80	0.80	0.40
Density (g/cm <sup>3</sup> )	0.8694	NM	0.9015	NM
η(0.1 Hz) Poise (Pa-sec)	96900 (9690)	138000 (13800)	132000 (13200)	285000 (28500)
η(100 Hz) Poise (Pa-sec)	18,800 (1880)	15,900 (1590)	17,300 (1730)	17,300 (1730)
Log η ratio	0.17	0.22	0.21	0.28
ΔLog η(0.1 Hz)	NA	0.18	NA	0.36
ΔLog η(100 Hz)	NA	-0.06	NA	0.05

NM = Not Measured

NA = Not Applicable

Log η ratio = (log η<sub>0.1</sub> - log η<sub>100</sub>) / log η<sub>100</sub>

ΔLog η(0.1 Hz) = log η<sub>0.1</sub><sup>m</sup> - log η<sub>0.1</sub><sup>v</sup>

ΔLog η(100 Hz) = log η<sub>100</sub><sup>m</sup> - log η<sub>100</sub><sup>v</sup>

**Example 8: Effect of peroxide addition on low and high shear rate viscosity of Samples 6 and 9\***

Table 10 describes the melt index change and low and high shear viscosity change before and after peroxide treatment of Samples 6 and 9\* (comparing the performance of a heterogeneously branched LLDPE with a SLEP having about the same melt index and density):

Table 10

Physical Property	Sample 6	Sample 6A	Sample 9*	Sample 9*A
wt percent Lupersol™ 130	0.00	0.10	0.00	0.10
Eqs ROOR/1000C	0.00	0.10	0.00	0.10
Final I <sub>2</sub> (g/10min)	0.80	0.43	0.76	0.03
Density (g/cm <sup>3</sup> )	0.9015	NM	0.9035	NM
η(0.1 Hz) Poise (Pa-sec)	132000 (13200)	285000 (28500)	134000 (13400)	1060000 (106000)
η(100 Hz) Poise (Pa-sec)	17300 (1730)	17800 (1780)	17400 (1740)	20300 (2030)
Log η ratio	0.21	0.28	0.25	0.40
ΔLog η(0.1 Hz)	NA	0.36	NA	0.73
ΔLog η(100 Hz)	NA	0.05	NA	0.07

NM = Not Measured

NA = Not Applicable

Log η ratio = (log η<sub>0.1</sub> - log η<sub>100</sub>)/log η<sub>100</sub>ΔLog η(0.1 Hz) = log η<sub>0.1</sub><sup>m</sup> - log η<sub>0.1</sub><sup>v</sup>ΔLog η(100 Hz) = log η<sub>100</sub><sup>m</sup> - log η<sub>100</sub><sup>v</sup>

5

Example 9: Effect of peroxide addition on low and high shear rate viscosity of Samples 7 and 8

Table 11 describes the low and high shear viscosity change before and after peroxide treatment of Samples 7 and 8:

5

Table 11

Physical Property	Sample 7	Sample 7A	Sample 8	Sample 8A
wt percent Lupersol™ 130	0.00	0.20	0.00	0.20
Eqs ROOR/1000C	0.00	0.19	0.00	0.19
$\eta(0.1 \text{ Hz})$ Poise (Pa·sec)	74900 (7490)	4727000 (472700)	20530 (2053)	38080 (3808)
$\eta(100 \text{ Hz})$ Poise (Pa·sec)	27800 (2780)	35000 (3500)	12220 (1222)	16700 (1670)
Log $\eta$ ratio	0.10	0.47	0.06	0.08
$\Delta \text{Log } \eta(0.1 \text{ Hz})$	NA	1.80	NA	0.27
$\Delta \text{Log } \eta(100 \text{ Hz})$	NA	0.10	NA	0.13

NM = Not Measured

NA = Not Applicable

$\text{Log } \eta \text{ ratio} = (\log \eta_{0.1} - \log \eta_{100}) / \log \eta_{100}$

$\Delta \text{Log } \eta(0.1 \text{ Hz}) = \log \eta_{0.1}^m - \log \eta_{0.1}^y$

$\Delta \text{Log } \eta(100 \text{ Hz}) = \log \eta_{100}^m - \log \eta_{100}^y$

10

Example 10: Torque data of Sample 8 after low temperature initiation peroxide treatment

Table 12 describes the torque response at 120C on a Haake Rheocord 9000 series equipment before and after peroxide treatment of Sample 8:

15

Table 12

Percent Lupersol™ 575 (wt percent)	Haake rpm	Initial Haake Torque	Torque at 5 minutes
0**	NM	NM	NM
0.16	10	1525	1600
4	20	2170	2270

\*\*Melt Processed

NM=Not Measured

Example 11: Die pressure of Sample 3 after peroxide treatment

Table 13 describes the die pressure change before and after peroxide treatment of Sample 3:

Table 13

Physical Property	Sample 3	Sample 3A	Sample 3B	Sample 3C
Wt percent Lupersol™ 130	0.00	0.10	0.30	0.60
Eqs ROOR/1000C	0.00	0.10	0.29	0.59
Final I <sub>2</sub> (g/10min)	4.90	3.50	1.56	0.49
Die Pressure (psi (MPa))	1300 (9.0)	1350 (9.3)	1400 (9.7)	1450 (10.0)
Melt Temp. at Die (C)	128	128	133	133

5

Example 12: Die pressure of Sample 4 after peroxide treatment

Table 14 describes the die pressure change before and after peroxide treatment of Sample 4:

Table 14

Physical Property	Sample 4	Sample 4A	Sample 4B
Wt percent Lupersol™ 130	0.00	0.10	0.30
Eqs ROOR/1000C	0.00	0.10	0.29
Final I <sub>2</sub> (g/10min)	0.90	0.84	0.13
Die Pressure (psi (MPa))	1700 (11.7)	1700 (11.7)	1750 (12.1)
Melt Temp. at Die (C)	159	159	160

10

Example 13: Die pressure comparison of Samples 6 and 9\* after peroxide treatment

Table 15 describes the die pressure change before and after peroxide treatment of Samples 6 and 9\* (comparing the performance of a heterogeneously branched LLDPE with a SLEP having about the same starting melt index and density):

Table 15

Physical Property	Sample 6	Sample 6A	Sample 9*	Sample 9*A
Wt percent Lupersol™ 130	0.00	0.10	0.00	0.10
Eqs ROOR/1000C	0.00	0.10	0.00	0.10
Final I <sub>2</sub> (g/10min)	0.80	0.43	0.76	0.03
Die Pressure (psi (MPa))	1400 (9.7)	1500 (10.3)	1600 (11.0)	1850 (12.8)
Melt Temp. at Die (C)	212	212	174	174

This data shows that when 0.1 wt percent peroxide crosslinking agent is added to Sample 6 according to this invention, there is only a 100 psi (0.7MPa) increase in die pressure, while the same amount of peroxide crosslinker added to Sample 9\* (Attane™, a heterogeneous ethylene polymer) results in a 250 psi (1.7MPa) increase in die pressure. This die pressure increase generally is an indication of greater high shear viscosity, since polymer extrusion is a high shear phenomenon.

Example 14: Percent gel determination for Sample 5 at 1.5 percent peroxide level

Percent gel is determined for Sample 5 after modification using 1.5 percent peroxide (as described in Example 6). Percent gel is determined using ASTM D 2765 Method A, the disclosure of which is incorporated herein by reference. This sample had the lowest starting melt index and as such, after reacting with the highest percent peroxide, would be expected to have the highest percent gel (that is, the highest molecular weight fraction which had converted to gel). Surprisingly, Sample 5, after modification with 1.5 percent peroxide, still has less than 0.5 percent gel (which is the lower test limit for this method). Accordingly, all other examples of the invention which are peroxide (or irradiation) modified have less than 0.5 percent gel, since all other examples either used less peroxide and/or had higher melt indices (that is, lower molecular weight, meaning that there should be less likely gel formation, all other parameters being relatively the same).

Example 15: Comparison of the Effect of Radiation and Peroxide Modification on Profile Extrusion

Table 16 shows data obtained on profile extrusion of refrigerator door gaskets using Sample 1 modified by  $\gamma$  particle bombardment,  $\beta$  particle (that is, electron beam) bombardment, and peroxide. In each case, Sample 1 was modified to reduce its melt index to 10. For  $\gamma$  particle bombardment Sample 1 was exposed to a 2 Mrad dose of  $\gamma$  radiation from a  $^{60}\text{Co}$  source, for  $\beta$  particle bombardment Sample 1 was exposed to a 2 Mrad dose of  $\beta$  radiation in the form of an electron beam and for peroxide modification Lupersol 130 was melt processed with Sample 1 as described above for Examples 2-12 in an amount sufficient to reduce the melt index of Sample 1 to 10..

Table 16

Cross-linking Agent	MI	Density (g/cc)	Melt Temp (°F(°C))	Output (lb/hr(kg/hr))	Line-speed (ft/min(m/min))	Shear Rate (s <sup>-1</sup> )	Surface Quality	Enough Melt Strength
$\gamma$	10	0.88	290 (143)	90.0 (40.5)	51.9 (15.8)	6226	Acceptable	Yes
$\gamma$	10	0.88	250 (121)	92.6 (41.7)	54.0 (16.5)	6485	Slight Mf*	Yes
$\beta$	10	0.88	290 (143)	88.2 (39.8)	50.8 (15.5)	6101	Acceptable	Yes
$\beta$	10	0.88	250 (121)	86.6 (39.0)	49.9 (15.2)	5994	Acceptable	Yes
ROOR	10	0.88	295 (146)	87.7 (39.5)	50.6 (15.4)	6068	Acceptable	Yes
ROOR	10	0.88	260 (127)	97.2 (43.7)	56.0 (17.1)	6724	Acceptable	Yes

\*Slight melt fracture (MF) in die lines.

\*\*Enough melt strength means that the melt strength was sufficient to hold the shape of the extruded profile after the polymer exited the extruder die.

This data shows that similar throughput is achieved for a given shear rate, surface quality and melt strength when using different crosslinking agents to rheology modify Sample 1. It was not possible to extrude a profile using unmodified Sample 1, since it had too little melt strength to hold a profile. The combination of the high shear rate, surface quality and line speed with enough melt strength is particularly surprising for a polymer free of external processing aids as in this case.

The Table 16 data also illustrates that the advantages of this invention are provided at different melt temperatures. This indicates a wide processing parameter window, allowing more flexibility in extrusion conditions.

Although the invention has been described in considerable detail through the preceding specific embodiments, it is to be understood that these embodiments are for purposes of illustration only. Many variations and modifications can be made by one skilled in the art without departing from the spirit and scope of the invention.



1. A rheology-modified ethylene polymer having less than 0.5 wt percent gel as measured via ASTM D2765, Procedure A, a Composition Distribution Branch Index (CDBI) greater than 50 percent and a molecular weight distribution less than 4.0,  
 5 which is characterizable by one of the following equations:

$$Z \leq (\log \eta_{0.1} - \log \eta_{100}) / \log \eta_{100} \quad (I)$$

$$\log \tau_0 = m \cdot \log (\eta_0) - b \quad (II)$$

$$K \leq MS^{150^\circ C} - 72.079 \times (\log M_w)^2 + 666.28 \times (\log M_w) - 1539.5 \quad (III)$$

- wherein  $\eta_0$  is the zero shear rate viscosity of the polymer,  $\eta_{0.1}$  is the viscosity of the  
 10 polymer measured at 190 C and a shear rate of 0.1 radians/second,  $\eta_{100}$  is the  
 viscosity of the polymer at a shear rate of 100 radian/second,  $\tau_0$  is the relaxation  
 time of the polymer,  $Z$ , is a number having a value of 0.30,  $m$  is a number having a  
 value greater than or equal to 1.070,  $b$  is a number having a value less than or equal  
 to 5.950,  $K$ , is a number equal to 0.50,  $MS^{150^\circ C}$  is the melt strength of the rheology-  
 15 modified polymer in cN measured at 150 C and  $M_w$  is the weight average molecular  
 weight of the rheology-modified polymer.

2. The polymer of Claim 1 wherein the polymer is a substantially linear  
 ethylene polymer.

20

3. A polymer blend comprising a polymer according to Claim 1 or 2  
 combined with at least one other polymer.

4. A process for improving the processability of a thermoplastic ethylene  
 25 polymer comprising treating at least one thermoplastic ethylene polymer having a  
 molecular weight distribution less than 3.0 with a crosslinking agent in an amount  
 less than the amount which would cause greater than or equal to 0.5 wt percent gel  
 formation as measured via ASTM D 2765, Procedure A under melt processing  
 conditions wherein the process satisfies the condition:

$$\log \eta_{0.1}^m \geq \log \eta_{0.1}^v + x \quad (IV) \text{ and}$$

$$\log \eta_{100}^m \leq \log \eta_{100}^v + y \quad (V)$$

- wherein  $\eta_{0.1}^m$  and  $\eta_{100}^m$  are the viscosities of the modified polymer in poise measured  
 at 190 C and shear rates of 0.1 and 100 radian/second, respectively,  $\eta_{0.1}^v$  and  $\eta_{100}^v$

are the viscosities of the unmodified polymer (that is, the "virgin" polymer) in poise measured at 190 C and shear rates of 0.1 and 100 radian/second, respectively,  $x$  is a number having a value of 0.50 and  $y$  is a number having a value of 0.10;

$$\log \tau_0^m \geq \log \tau_0^v + 0.1 \quad (\text{VI})$$

5 wherein  $\log \tau_0^m$  and  $\log \tau_0^v$  are log relaxation times of the rheology-modified polymer and the polymer prior to modification, respectively; or

$$MS^m \geq MS^v + 0.5 \text{ cN} \quad (\text{VII})$$

wherein  $MS^m$  and  $MS^v$  are melt strengths in cN at 150 C of the rheology-modified polymer and the same polymer prior to modification, respectively.

10

5. A process for making a molded article comprising:

- (a) treating at least one thermoplastic ethylene polymer having a molecular weight distribution less than 3 and a CBDI greater than 50 percent with a crosslinking agent;
- 15 (b) heating the treated polymer to a temperature suitable for melt processing;
- (c) melt processing the heated polymer;
- (d) forming the melt processed polymer into a shape and
- (e) allowing the shaped polymer to cool,

20 wherein the crosslinking agent is introduced at a dosage less than that which would cause greater than or equal to 0.5 wt percent gel formation under melt processing conditions, but sufficient to satisfy the condition:

$$\log \eta_{0.1}^m \geq \log \eta_{0.1}^v + x \quad (\text{IV}) \text{ and}$$

$$\log \eta_{100}^m \leq \log \eta_{100}^v + y \quad (\text{V})$$

25 wherein  $\eta_{0.1}^m$  and  $\eta_{100}^m$  are the viscosities of the modified polymer in poise measured at 190 C and shear rates of 0.1 and 100 radian/second, respectively,  $\eta_{0.1}^v$  and  $\eta_{100}^v$  are the viscosities of the unmodified polymer (that is, the "virgin" polymer) in poise measured at 190 C and shear rates of 0.1 and 100 radian/second, respectively,  $x$  is a number having a value of 0.50 and  $y$  is a number having a value of 0.10;

30  $\log \tau_0^m \geq \log \tau_0^v + 0.1 \quad (\text{VI})$

wherein  $\log \tau_0^m$  and  $\log \tau_0^v$  are log relaxation times of the rheology-modified polymer and the polymer prior to modification, respectively; or

$$MS^m \geq MS^v + 0.5 \text{ cN} \quad (\text{VII})$$

wherein  $MS^m$  and  $MS^v$  are melt strengths in cN at 150 C of the rheology-modified polymer and the same polymer prior to modification, respectively.

6. The process according to Claim 4 or 5 wherein the crosslinking agent  
5 is a heat-activated crosslinking agent.

7. The process according to Claim 4 or 5 wherein the crosslinking agent  
is radiation.

10 8. The process according to Claim 4 or 5 further comprising:  
(f) blending at least one unmodified polymer with the rheology-  
modified polymer.

9. An intermediate for making molded articles comprising at least one  
15 thermoplastic ethylene polymer having a CBDI greater than 50 percent treated with  
a crosslinking agent in an amount less than sufficient to cause the formation of 0.5  
wt percent or more gel under melt processing conditions and yet sufficient to satisfy  
the condition:

$$\log \eta_{0.1}^m \geq \log \eta_{0.1}^v + x \quad (\text{IV}) \text{ and}$$

20  $\log \eta_{100}^m \leq \log \eta_{100}^v + y \quad (\text{V})$

wherein  $\eta_{0.1}^m$  and  $\eta_{100}^m$  are the viscosities of the modified polymer in poise measured  
at 190 C and shear rates of 0.1 and 100 radian/second, respectively,  $\eta_{0.1}^v$  and  $\eta_{100}^v$   
are the viscosities of the unmodified polymer (that is, the "virgin" polymer) in poise  
measured at 190 C and shear rates of 0.1 and 100 radian/second, respectively,  $x$  is a  
25 number having a value of 0.50 and  $y$  is a number having a value of 0.10;

$$\log \tau_0^m \geq \log \tau_0^v + 0.1 \quad (\text{VI})$$

wherein  $\log \tau_0^m$  and  $\log \tau_0^v$  are log relaxation times of the rheology-modified polymer  
and the polymer prior to modification, respectively; or

$$MS^m \geq MS^v + 0.5 \text{ cN} \quad (\text{VII})$$

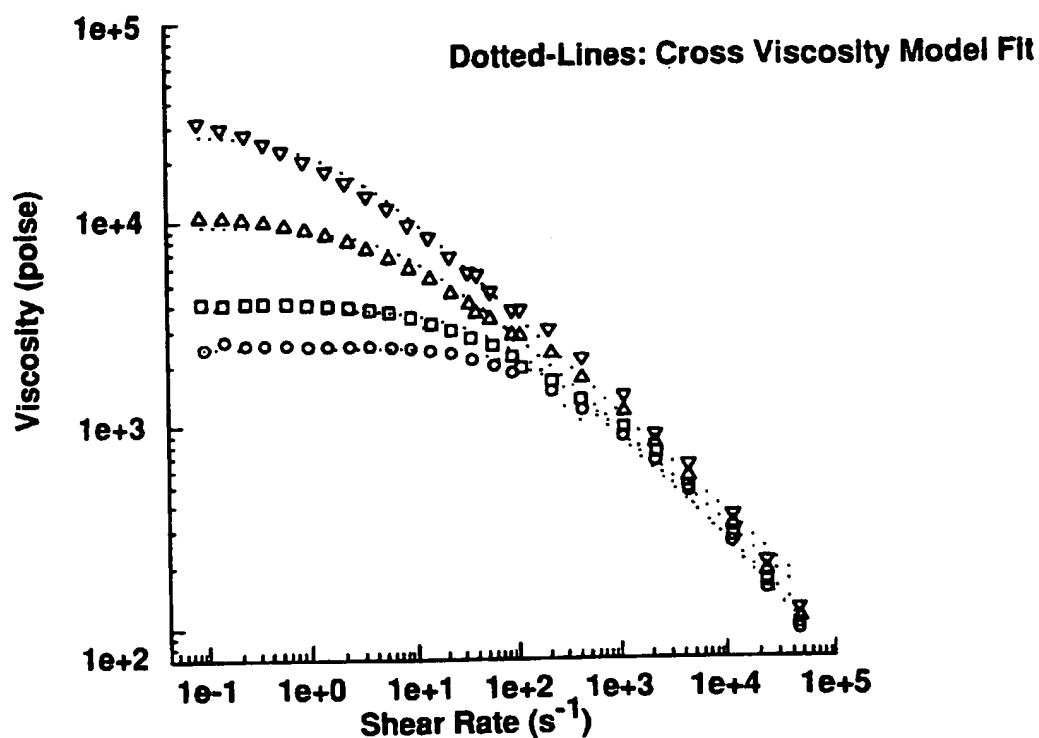
30 wherein  $MS^m$  and  $MS^v$  are melt strengths in cN at 150 C of the rheology-modified  
polymer and the same polymer prior to modification, respectively.

10. A process for using an intermediate to make a rheology-modified  
polymer which comprises:

- 5 (a) heating at least one intermediate of Claim 8 to a temperature suitable for melt processing;
- (b) melt processing the heated polymer of step (a);
- (c) forming the melt processed polymer of step (b) into a shape;
- and
- (d) allowing the shaped polymer of step (c) to cool.
11. The process according to Claim 10 further comprising:
- 10 (f) combining at least one unmodified polymer with the intermediate in step (a) or (b).
12. A process for the preparation of an ethylene polymer having a molecular weight distribution less than 4.0 and less than 0.5 weight % gel as measured via ASTM D2765, Procedure A, by crosslinking a thermoplastic ethylene
- 15 polymer having less than 0.30 vinyl unsaturation/1000 carbon atoms and a density in the range from 0.85 to 0.95g/cm<sup>3</sup>.
13. The process according to Claim 12 wherein the ethylene polymer subjected to crosslinking is a linear or substantially linear thermoplastic
- 20 homogeneous ethylene polymer having a single melting peak between -30°C and 150°C, a molecular weight distribution less than or equal to 3 and a SCBDI greater than 50%.
14. The process according to Claim 12 wherein the crosslinked ethylene
- 25 polymer has a weight average molecular weight greater than 30,000 and a melt flow ratio,  $I_{10}/I_2$ , of at least 7.0.

1 / 4

FIG. 1



▽ SM8400: 9MRad,  $4.7 \times 10^{19.2} / l_2$ , 0.8717 g/cc

△ SM8400: 5MRad,  $13.3 \times 10^{13.5} / l_2$ , 0.8731 g/cc

□ SM8400: 2MRad,  $25.4 \times 10^{8.1} / l_2$ , 0.8726

○ SM8400 Control:  $32.2 \times 10^{7.2} / l_2$ , 0.8727 g/cc

$$\eta = \eta_0 (1 + (\tau_0 \gamma)^a)^{-1}$$

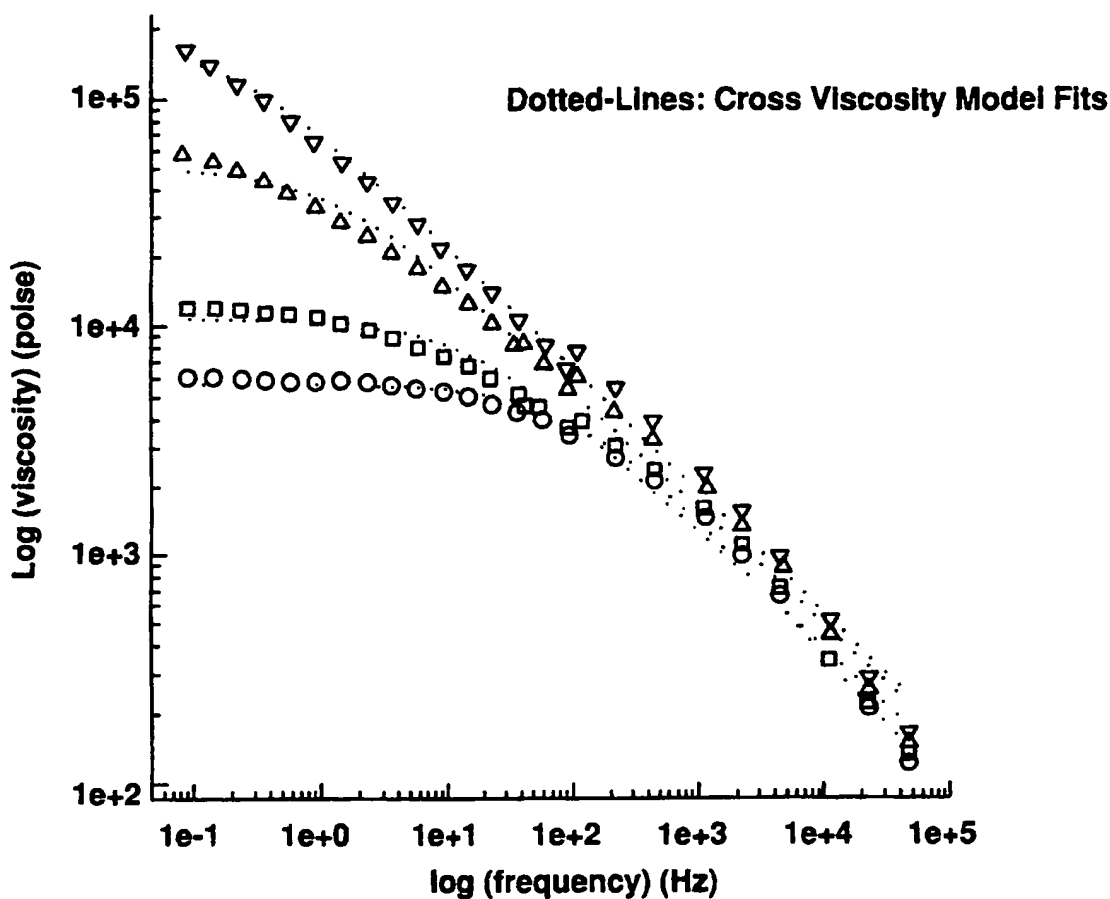
SM8400 Control:  $\eta_0 = 2459$ ,  $\tau_0 = 0.004216$ ,  $a = 0.5974$

SM8400 2MRad:  $\eta_0 = 3845$ ,  $\tau_0 = 0.0170$ ,  $a = 0.5189$

SM8400 5MRad:  $\eta_0 = 9599$ ,  $\tau_0 = 0.1190$ ,  $a = 0.4838$

SM8400 9MRad:  $\eta_0 = 28077$ ,  $\tau_0 = 0.6232$ ,  $a = 0.4984$

FIG.2



▽ HM1100: 9 Mrad , 0.35  $I_2$  , 17.4  $I_{10}/I_2$  , 0.882 g/cc

△ HM1100: 5 Mrad , 2.5  $I_2$  , 11.3  $I_{10}/I_2$  , 0.881 g/cc

□ HM1100: 2 Mrad , 9.2  $I_2$  , 9.0  $I_{10}/I_2$  , 0.882 g/cc

○ HM1100: Control , 16.3  $I_2$  , 7.1  $I_{10}/I_2$  , 0.883 g/cc

$$\eta = \eta_0 (1 + (\tau_0 \gamma)^a)^{-1}$$

HM1100 Control:  $\eta_0 = 5690$ ,  $\tau_0 = 0.008591$ ,  $a = 0.6157$

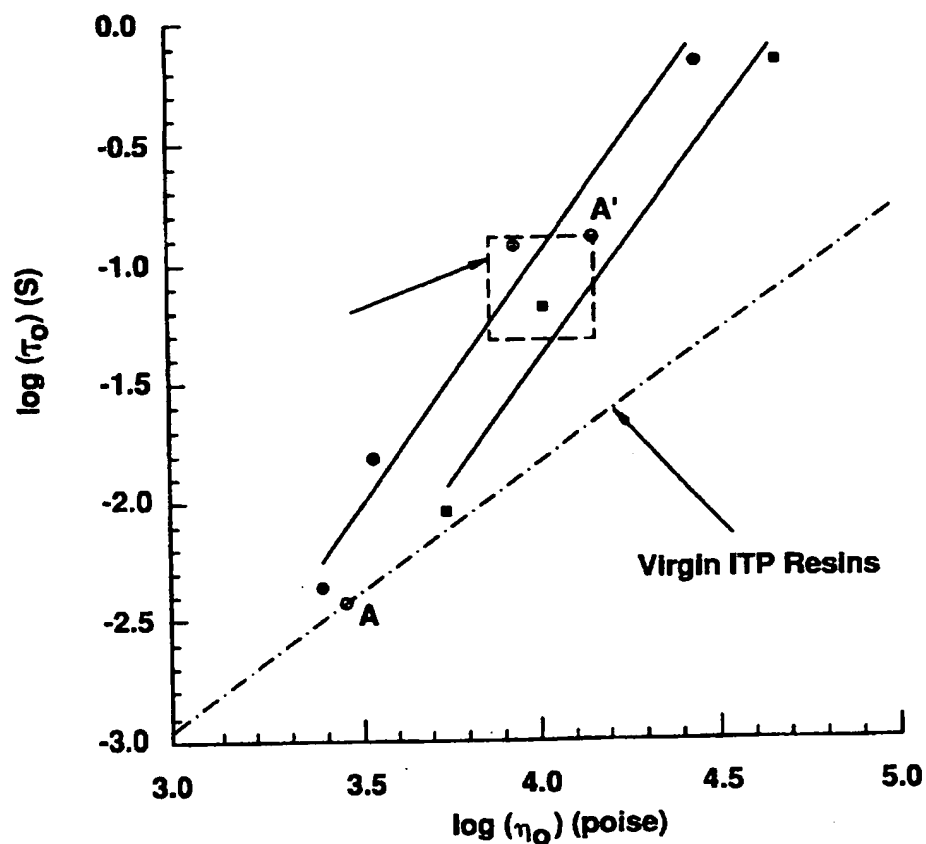
HM1100 2Mrad:  $\eta_0 = 10727$ ,  $\tau_0 = 0.0609$ ,  $a = 0.5144$

HM1100 5Mrad:  $\eta_0 = 50509$ ,  $\tau_0 = 0.7630$ ,  $a = 0.5157$

HM1100 9Mrad:  $\eta_0 = 181027$ ,  $\tau_0 = 4.3939$ ,  $a = 0.5395$

3 / 4

FIG.3



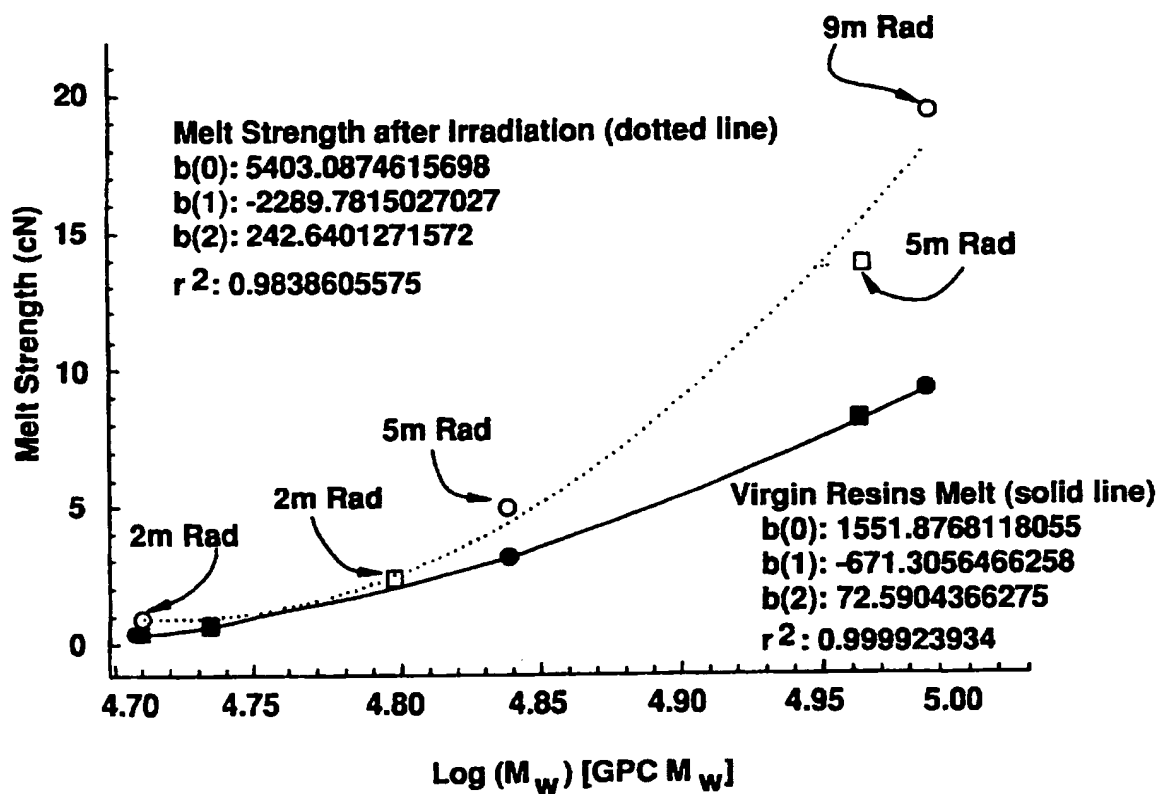
- $\gamma$  - Irradiated SM8400
- $\gamma$  - Irradiated HM1100

Virgin Resins  
 $\log(\tau_0) = 1.0663 \times \log(\eta_0) - 6.1242$   
 $r^2: 0.9427$

$\gamma$ -irradiated HM1100:  
 $\log(\tau_0) = 1.9755 \times \log(\eta_0) - 9.3570$   
 $r^2: 0.9732$

$\gamma$ - Irradiated SM8400:  
 $\log(\tau_0) = 2.0146 \times \log(\eta_0) - 9.0780$   
 $r^2: 0.9820$

FIG.4





**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C08J 3/24, C08F 8/00</b>	<b>A3</b>	<b>(11) International Publication Number:</b> <b>WO 97/32922</b> <b>(43) International Publication Date:</b> 12 September 1997 (12.09.97)
<b>(21) International Application Number:</b> PCT/US97/02996 <b>(22) International Filing Date:</b> 28 February 1997 (28.02.97) <b>(30) Priority Data:</b> 60/012,873      5 March 1996 (05.03.96)      US <b>(71) Applicant (for all designated States except US):</b> THE DOW CHEMICAL COMPANY [US/US]; 2030 Dow Center, Mid- land, MI 48674 (US). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> ROWLAND, Michael, E. [US/US]; 402 Daffodil, Lake Jackson, TX 77566 (US). TURLEY, Robert, R. [US/US]; 606 Lake Road, Lake Jackson, TX 77566 (US). HILL, James, J. [US/US]; 517 Mimosa, Angleton, TX 77515 (US). KALE, Lawrence, T. [US/US]; 121 Portulaca, Lake Jackson, TX 77566 (US). KUMMER, Kyle, G. [US/US]; 226 Plum Circle, Lake Jackson, TX 77566 (US). LAI, Shih-Yaw [US/US]; 4523 Bermuda, Sugar Land, TX 77479 (US). CHUM, Pak-Wing, Steve [US/US]; 126 Juniper, Lake Jackson, TX 77566 (US). <b>(74) Agents:</b> LELKES, Robert, S. et al.; Whyte Hirschboeck Dudek, S.C., Suite 2100, 111 East Wisconsin Avenue, Milwaukee, WI 53202 (US).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>  <b>(88) Date of publication of the international search report:</b> 16 October 1997 (16.10.97)
<b>(54) Title:</b> RHEOLOGY-MODIFIED POLYOLEFINS  <b>(57) Abstract</b>  The subject invention is directed to a rheology-modified ethylene polymer having less than 0.5 weight percent gel, a composition distribution breadth index (CDBI) greater than 50 percent, and a molecular weight distribution ( $M_w/M_n$ ) of less than 4.0, which is characterized as having improved rheological performance and/or melt strength attributes relative to the unmodified polymer. The subject invention is further directed to polymer blends which comprise the rheology-modified polymers, and to a process for preparing the rheology-modified polymers.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

# INTERNATIONAL SEARCH REPORT

Internat. Application No  
PCT/US 97/02996

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 C08J3/24 C08F8/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 C08J C08F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 678 527 A (CHISSO CORPORATION) 25 October 1995 see claims 1-5 ---	1
A	EP 0 451 804 A (MITSUI TOATSU CHEMICALS INC.) 16 October 1991 see claims 1-12 ---	1
A	EP 0 497 590 A (MITSUBISHI PETROCHEMICAL COMPANY LTD.) 5 August 1992 see claims 1-18 ---	1
A	EP 0 145 475 A (NATIONAL RESEARCH DEVELOPMENT) 19 June 1985 see claims 1-18 --- -/-	1

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*A\* document member of the same patent family

Date of the actual completion of the international search

13 August 1997

Date of mailing of the international search report

03.09.97

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+ 31-70) 340-3016

Authorized officer

Permentier, W

# INTERNATIONAL SEARCH REPORT

Interns Application No  
PCT/US 97/02996

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 519 386 A (HIMONT INCORPORATED) 23 December 1992 see claims 1-13 ---	1
A	US 3 953 655 A (R. A. STEINKAMP) 27 April 1976 see claims 1-9 ---	1
A	FR 2 125 004 A (MONTECATINI EDISON S.P.A.) 22 September 1972 see claims 1-9 -----	1

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Internat J Application No

PCT/US 97/02996

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 678527 A	25-10-95	JP 7292024 A US 5560886 A	07-11-95 01-10-96
EP 451804 A	16-10-91	CA 2040226 C CN 1056501 A JP 4218504 A KR 9311664 B US 5200439 A	02-05-95 27-11-91 10-08-92 16-12-93 06-04-93
EP 497590 A	05-08-92	JP 4348113 A DE 69219917 D US 5231144 A	03-12-92 03-07-97 27-07-93
EP 145475 A	19-06-85	GB 2151238 A,B JP 6049732 B JP 60141703 A US 5160464 A	17-07-85 29-06-94 26-07-85 03-11-92
EP 519386 A	23-12-92	AU 1846692 A CA 2071779 A,C CN 1069038 A JP 6093033 A US 5508319 A US 5552104 A	24-12-92 22-12-92 17-02-93 05-04-94 16-04-96 03-09-96
US 3953655 A	27-04-76	US 3862265 A US 4001172 A	21-01-75 04-01-77
FR 2125004 A	22-09-72	BE 778910 A DE 2204637 A GB 1362476 A NL 7201239 A	03-08-72 17-08-72 07-08-74 08-08-72

**THIS PAGE BLANK (USPTO)**